Enhanced Multiexciton Emission Property in Gradient Alloy Core/Shell CdZnSeS/ZnS Quantum Dots: Balance between Surface Passivation and Strain-Induced Lattice Defect

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ABSTRACT: The effect of surface/interface defects on multiexciton recombination is studied with CdZnSeS/ZnS alloy core/shell quantum dots (QDs) with different shell thicknesses. Through pump-power-related time-resolved photoluminescence/amplified spontaneous-emission (ASE) spectra and statistical analysis of single-dot fluorescence, it is indicated that with the epitaxial growth of the ZnS shell, the multiexciton property of the QDs can be improved due to surface passivation, but with further shell growth, interface defects caused by stress accumulation will result in deterioration. The QDs with three monolayers ZnS shell show the best performance with a biexciton quantum yield of 11.6%, biexciton lifetime of ~360 ps, and ASE threshold of only 118 μJ cm⁻², with which a vertical cavity surface-emitting laser is fabricated. Our experimental results highlight the importance of surface/interface defects to the application of QDs on laser devices.

INTRODUCTION

Semiconductor core/shell quantum dots (QDs) exhibit excellent optical performance and stability, which lead to application potential in light-emitting diodes, bioimaging, photovoltaic devices, and low-threshold lasers.1−4 QDs are important optical gain mediums due to their easily tuned emission spectra, and it is possible to realize full-color lasers with potentially high gain property.5 However, high densities of excitons for generating optical gain in QDs are easily consumed by the rapid nonradiative Auger recombination (AR) process,6 which leads to low quantum yield (QY) of charged-exciton and multiexciton, thus severely restricting the QD’s optical gain performance. The suppression of AR while maintaining high oscillator strength is a long-term goal in the QD’s applications, especially for lasers.6−8 Several researchers have found that the AR process is related to the strength of the Coulomb interaction between carriers and the overlap of the wave functions of the initial and final states involved in the Auger process.9−11 For single-component QDs, the AR rate, which usually follows the “volume law”,12 is affected by the size and linearly related to the dimension.

Surface defect states will increase the probability of charging of QDs, which will participate in the multiexciton recombination and lead to fast AR and fluorescent blinking, thus possibly inhibiting multiexciton states emission and decreasing the optical gain lifetime.13−15 Some researches have revealed that the suppression of AR by the growing high-quality CdS shell on the CdSe core results from the effective passivation of the charged state and the surface state. Furthermore, a thick CdS shell can completely suppress the fluorescence blinking due to effective isolation of the surface states. As a consequence, the “giant” CdSe/CdS QDs (up to 20 MLs) have excellent fluorescence properties.16,17 However, the CdS shell has finite confinement on the electron, and there is nearly no conduction band offset between CdSe and CdS at room temperature, which makes the delocalization of the electron and captivity by surface defect possible.17,18 On the other hand, although ZnS material has a wide band gap, growing ZnS shell on CdSe QDs has nearly no improvement on QY and fluorescence blinking.19−21 which is due to much interfacial defect derived from large lattice mismatch. Thus, it is suggested that a gradient alloy shell with a wide-band gap ZnS material as the final shell could reduce surface/interfacial defects. Currently, core/shell QDs synthesized by increasing the Zn²⁺ density at the interface, such as CdSe/Cd₁₋ₓZnxSₓ,21,22 CdS/CdₓZnSe₁₋ₓ/ZnSₓ,23,24 and CdZnSe/ZnSe/ZnSₓ,25−26 can
effectively eliminate the defect state caused by the lattice mismatch during the growth process, so the AR process involving traps can be significantly suppressed.35–39

Although utilizing a thick ZnS shell as a final passivation layer is a target, the strain accumulation during the growing process is still large, increasing the possibility of forming interfacial defects. Thus, the AR rate may be increased, and the blinking events become more frequent. The recombinant of the multiexciton in QDs includes the following: (a) radiative combination of band-edge electron–hole pairs, (b) trapping process where interfacial defects or surface defects serve as nonradiative centers, and (c) nonradiative AR.36 The process in which interfacial traps are involved in AR by coupling with other band-edge carriers is very fast (∼20 ps) due to the high spatial confinement, which leads to high momentum components so that momentum conservation constraints need to be relaxed.30 Previous studies have shown that the density and location of interfacial defects can also affect the charging probability of QDs.27,31,32

In this paper, the gradient alloy CdZnSeS QDs were prepared by a “one-pot” method, and then their surface was gradually epitaxially grown with ZnS shell to further passivate the surface. Our experimental results show that the ZnS shell with 3 monolayers (MLs) thickness could prolong the biexciton lifetime and reduce the ASE threshold, but further coating will introduce more interface defects, resulting in a decline in their optical properties. The analysis of the single-dot fluorescence trace and biexciton decay curve indicates the importance of reducing interfacial defects on the optical gain. The high-performance gain medium is further proved by fabricating a VCSEL with a threshold of ∼100 μJ cm⁻².

## EXPERIMENTAL SECTION

### Chemical.
Cadmium oxide (CdO, 99.998%), oleic acid (OA, technical grade, 90%), zinc oxide (ZnO, 99%), tri-n-octylphosphine (TOP, 98%), and sulfur (S, 99.98%) were purchased from Aldrich. 1-Octadecene (1-ODE, 90%) and Se (200 mesh, 99.999%) were purchased from Alfa-Aesar. All the chemicals were used without further purification.

### Synthesis Method.
The gradient alloy CdZnSeS QDs were prepared according to a previously published method with slight modification.27,31 Briefly, 6.82 mmol of ZnO, 0.28 mmol of CdO, 14 mL of OA, and 30 mL of ODE were added in a three-necked bottle. It was degassed under 130 °C using a Schlenk-line for 1 h, and then the temperature was raised to 310 °C until the solution became transparent to produce the cation precursors of Cd(OA)₂ and Zn(OA)₂. Transparent anion precursors prepared by dissolving 4.4 mmol of Se and 4.4 mmol of S with 4 mL of TOP were injected quickly, and the core growth lasted 10 min. To grow the ZnS shell, a solution prepared by dissolving 3.2 mmol of S in 4.8 mL of ODE was injected and reacted for 12 min. The shelling anion precursors were prepared by dissolving S in TOP (1.93M), and cation precursors were prepared by dissolving 11.44 mmol of Zn(OAc)₂ in 8 mL of OA and 16 mL of ODE. Further, the different thick ZnS shells were prepared by injecting different amounts of Zn and S precursor, and then the reaction was annealed for 20 min at 270 °C. The synthesized QDs were purified repeatedly by a precipitation/dispersion method and dispersed in nonpolar organic solvents for further characterization.

### Material Characterization.
The absorption spectra were recorded using a Shimadzu UV-3600 spectrometer; PL spectra were measured using a Shimadzu RF-5301PC spectrometer. The PL quantum yield was compared with standard Rhodamine B dye with the same optical density. TEM images were acquired using a JEOL company JEM-2100 electron microscope, with an acceleration voltage of 200 kV. X-ray diffraction patterns were measured using a Rigaku D/max 2500/PC X-ray diffractometer operating at theta/2θ mode using the Cu–Kα line (λ = 1.5418 Å).

Transient time-resolved measurement of QD solution was performed with a Hamamatsu C5680 streak camera working in slow single-sweep mode, excited by frequency-doubled femtosecond laser using β-BBO of a Ti:sapphire laser system (800 nm, 150 fs, 1 kHz, Coherent Legend F-Iker). Single-dot fluorescence was measured by a confocal microscopy system. The diluted QD solution was mixed with the toluene solution of polylactic acid and then spin-coated on the quartz substrate. The picosecond supercontinuum fiber laser (405 nm, 4.9 MHz, NKT Photonics EXR-15) was used as the excitation source, and the excitation density was 1 W cm⁻². The fluorescence was collected through the excited objective lens. ASE spectra were collected at the edge of the film with fiber...
and analyzed using an optical multichannel analysis instrument (OMA, Spectrapro-300i, Acton Research Corporation) with a grating period of 300 g/mm. The transient decay processes were measured using the streak camera mentioned above working in the synchroscan mode. VCSEL was pumped using the aforementioned laser with a lens of 10 cm focus length. Laser spectra were collected with the OMA with a grating period of 1200 g/mm.

**RESULT AND DISCUSSION**

Gradient alloy CdZnSeS QDs were synthesized by the "one-pot" method with some modifications (see the Experimental Section). Briefly, four different precursors (Cd(OA)₂, Zn(OA)₂, TOP-Se, and TOP-S) dissociated at 310 °C, forming a CdSe-rich core and ZnS-rich shell with gradient alloy interface according to the reactivity and concentration of the precursors as the reaction proceeded. The Cd/Zn and S/Zn molar ratios were 4.1% and 64.5%, respectively, to participate in the reaction. To cap the surface further, Zn(OA)₂ and TOP-S were utilized as precursors to epitaxially grow a wide-band ZnS shell. The transmission electron microscopy images of the as-prepared QDs are shown in Figure 1a. The shapes of the QDs were relatively regular due to the high growing temperature.

The average size of the CdZnSeS core was ~10.2 nm, and the size of the core/shell QDs increased to 12.8, 14.7, 16.5, and 18.1 nm as the shell grew, which corresponded to about 3, 6, 9, and 12 MLs. Moreover, obvious stacking faults can be observed in the TEM image shown in Figure 1e and f, which are the signs of strain release at the interface. Hereafter, these samples are labeled as core, #3 ML, #6 ML, #9 ML, and #12 ML. The X-ray diffraction (XRD) patterns, as shown in Figure 1b, indicate a zinc-blend crystal structure and well crystalline quality with sharp peaks. Calculated according to position of the (220) diffraction peak, the lattice constants of these QDs are 1.9734, 1.9519, 1.9372, 1.9235, and 1.9155 Å, respectively. The lattice constant of the core is closer to ZnSe, because the Zn/Cd molar ratio is very high. Cd precursor was consumed mainly forming CdSe, and the reactivity of TOP-S was far lower than that of TOP-Se, so Zn precursor reacted with a large amount of TOP-Se and a gradient ZnSe-rich alloy interface was formed. Because the lattice mismatch of ZnSe and the subsequently growing ZnS (1.9120 Å) shell is small, the formed strain can be small well. Upon the growth of the ZnS shell, the diffraction peaks of nanocrystals gradually narrow and shift from the ZnSe pattern to that of ZnS, which proves successful ZnS shell growth and the compressive stress on the core.

Figure 2a presents the evolution of the UV–vis absorption and photoluminescence (PL) spectra of these QDs measured at room temperature. With the growth of the ZnS shell, the PL full width at half-maximum (fwhm) remains as narrow as ~23 nm due to the effective quantum confinement effect of ZnS and QD’s narrow size distribution. Both PL and first exciton absorption (1S) peaks are blue-shifted, while the absorption between 300 and 350 nm is increased obviously due to the epixial growth of the ZnS shell. The PL/absorption blue-shift may be caused by increasing quantum confinement as the shell grows and in situ alloying of the core with ZnS. The QY value is increased from 40% of #core to 85% of #3 ML because the high synthesis reaction temperature causes Zn ions to diffuse into the surface of the CdZnSeS core. As a consequence, the growth of the shell forms a continuous lattice parameter change from the core to the shell without the formation of structural defects. Upon further shelling with 6, 9, and 12 MLs, the QY values are decreased toward 75%, 63%, and 35%. This can probably be attributed to the interfacial dislocations resulting from the release of the accumulated lattice strain, which will serve as nonradiative centers.

Figure 2b shows the PL decay trace under weak excitation of the #core which deviates far from single-exponential decay with a long tail, which is related to the interaction of the exciton and surface defect states. This indicates that the surface of #core is not perfectly passivated. The decay curves of #3 and #6 could be well fitted with a single-exponential because of the ZnS shell growth with almost no interfacial defect. However, with further shell growth, the decay traces deviate again from the single-exponential decay. This is due to the appearance of the interfacial dislocation, which could be obviously seen in the red dashed circles in Figure 1e and f. Figure 2 indicates that the QD’s surface could be well passivated by the epitaxial of the ZnS shell, but a shell thicker than 6 ML ZnS will introduce nonradiative interfacial traps.

PL intensity trajectories of single QDs were measured at room temperature for these samples, depicted in Figure 3a and Figure S1. The threshold line is positioned at ~15% lower in intensity relative to that of the “on”-level central peak to minimize the overlap between the two events and collect enough data for further analysis. As is shown in the corresponding histogram, the #core has clear two-step binary blinking with well-defined “on” and “off” states. In comparison,
### 3 ML, 6 ML, and 9 ML samples have obvious effects on suppressing the off event, and 12 ML sample has a long period off event. The probability statistics for the duration of on and off events were calculated according to the blinking traces, which satisfy a power-law distribution \( P \propto t^{-\mu} \) with \( t \) as the duration of a period and \( \mu \) as the power-law coefficient, as depicted at the right of corresponding intensity traces in Figure 3b and Figure S1b. The fitted results are shown in Figure S2, where the smaller coefficient proves the slower decrease trend of probability and longer event probable. For core sample, \( \mu_{on/off} = 1.1/1.29 \), and long duration periods of both on and off events are due to surface defects. Upon shelling to 3 ML, the coefficients \( \mu_{on/off} = 0.5/2.27 \) prove that the longer on event duration is more favorable and the off duration decays very fast. Subsequent shell coating makes the \( \mu_{on} \) value increase and that of \( \mu_{off} \) decrease. Fluorescence blinking behavior generally comes from efficient nonradiative AR and defect state trapping. A thin shell with only 3 ML has a greater probability of being in the on state for a long period than the core itself and with a thicker shell, which proves efficient suppressed nonradiative AR and perfect surface passivation without interfacial defects. On the contrary, the subsequent shelling introduces more lattice strain and increases the interface defect density.

To study the recombination dynamics of multiexcitons within QDs, the time-resolved PL was measured as a function of excitation intensity, as shown in Figure 4 and Figure S3. Under high-power pump intensity, a fast-decay component (in subnanosecond scale) emerges for all the QDs, which is a typical signature of multiexciton recombination. The PL decay traces of 3 ML with increasing pump fluence exhibit the following characteristics: (1) The fluorescence intensity in the initial fast stage is increased approximately linearly with the increase of the pump power. When the surface of the QDs is not passivated (#core) or coated with a thick shell with introduced defects (#9 and #12), the fluorescence increase with the pump power will deviate from linearity. This is due to the surface/interface defects serving as a nonradiative center. (2) The intensity of the decay curve tail component will tend to saturate under a high-power pump. It is suggested that the multiexcitons in II–VI semiconductor QDs could completely decay within 0–5 ns, leaving only single-exciton fluorescence. The inset in Figure 4a shows the dependence of the fluorescence intensity at \( t = 5 \) ns on the pump power, which could be fitted using the following equation: \( I_{long} \propto e^{-j\delta_{abs}} \), where \( j \) is the pump intensity and \( \delta_{abs} \) denotes the absorption cross section at 400 nm. The \( \delta_{abs} \) value, obtained by fitting the
curves in Figure 4 and Figure S3, is on the order of ∼6 × 10^{-15} cm^{-2} for all samples. It is generously suggested that when the average number of excitons ⟨N⟩ = 100 in QDs is not greater than 0.1 (corresponding to the pump power ∼8 μJ cm^{-2}), there are only single-excitons and bieexcitons in the QDs during the initial decay stage, and there are no multieexciton states such as triple-exciton.28 The biexciton or trion radiative lifetime satisfies the following relationship: \( \tau_{\text{xx}} = 2 \left( \tau_{\text{xx,A}} + \frac{1}{\tau_{\text{xx,c}}} \right) \). The trion Auger lifetime can be calculated according to \( \frac{1}{\tau_{\text{tr,A}}} = \frac{1}{\tau_{\text{tr,c,A}}} + \frac{1}{\tau_{\text{tr,c}}} \) and \( \tau_{\text{tr,c}} = \tau_{\text{xx}} / 2 \). 

Table 1. Photophysical Properties of All QD Samples

<table>
<thead>
<tr>
<th>sample</th>
<th>τ_{\text{c}} (ns)</th>
<th>τ_{\text{xx}} (ps)</th>
<th>τ_{\text{tr}} (ns)</th>
<th>QY_{\text{xx}} (%)</th>
<th>τ_{\text{xx,Auger}} (ps)</th>
<th>τ_{\text{tr,Auger}} (ns)</th>
<th>τ_{\text{xx,Auger}} (ns)</th>
</tr>
</thead>
<tbody>
<tr>
<td>#core</td>
<td>9.43</td>
<td>195.7</td>
<td>1.41</td>
<td>8.30</td>
<td>202.42</td>
<td>1.61</td>
<td>0.45</td>
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<tr>
<td>#3 ML</td>
<td>12.41</td>
<td>359.6</td>
<td>2.78</td>
<td>11.59</td>
<td>398.9</td>
<td>4.49</td>
<td>0.97</td>
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<tr>
<td>#6 ML</td>
<td>29.63</td>
<td>263.6</td>
<td>2.00</td>
<td>7.55</td>
<td>279.43</td>
<td>2.55</td>
<td>0.72</td>
</tr>
<tr>
<td>#9 ML</td>
<td>13.60</td>
<td>231.9</td>
<td>1.08</td>
<td>7.49</td>
<td>243.39</td>
<td>1.22</td>
<td>0.81</td>
</tr>
<tr>
<td>#12 ML</td>
<td>9.98</td>
<td>13.85</td>
<td>0.46</td>
<td>7.13</td>
<td>12.17</td>
<td>1.12</td>
<td>0.45</td>
</tr>
</tbody>
</table>

The biexciton QY was calculated according to QY_{xx} = 4τ_{xx} / τ_{xx,c}, corresponding to the pump power curves in Figure 4 and Figure S3, is on the order of ∼6 × 10^{-15} cm^{-2} for all samples. It is generously suggested that when the average number of excitons (⟨N⟩ = 100) in QDs is not greater than 0.1 (corresponding to the pump power ∼8 μJ cm^{-2}), there are only single-excitons and bieexcitons in the QDs during the initial decay stage, and there are no multieexciton states such as triple-exciton.28 The biexciton or trion radiative lifetime satisfies the following relationship: \( \tau_{\text{xx}} = 2 \left( \tau_{\text{xx,A}} + \frac{1}{\tau_{\text{xx,c}}} \right) \). The trion Auger lifetime can be calculated according to \( \frac{1}{\tau_{\text{tr,A}}} = \frac{1}{\tau_{\text{tr,c,A}}} + \frac{1}{\tau_{\text{tr,c}}} \) and \( \tau_{\text{tr,c}} = \tau_{\text{xx}} / 2 \). 

published result by our group49 has shown that different from CdSe/CdS QDs, the main defects in CdZnSeS/ZnS QDs are electron traps, with thicker shells and more trap densities. Considering this, we think that the electron trap may also involve the AR and influence the trion AR lifetime.42,46 It is worth noting that τ_{tr,c} decreases but still is larger than the core, proving that the increase of the electron trap density is the main reason for the shorter biexciton lifetime and decrease of QY_{xx}.

To further study the multieexciton emission of QDs, we measured the amplified spontaneous emission (ASE) under stripe excitation. Uniform QD film with ∼260 nm thickness was prepared by spin-coating on a glass substrate to form a planar waveguide structure, whose atomic force microscope (AFM) image is shown in the inset of Figure 5a. Its root-mean-square (∼3 nm) and peak-to-peak surface roughness (∼28 nm) are much smaller than the excitation and emission wavelengths, so there are no randomly formed cavities, which could confuse QD’s intrinsic optical gain characteristics. The pump beam (400 nm, 150 fs, 1 kHz) was focused through a cyclical lens into 50 μm×4 mm strips on the film. For #core sample, it can be seen that a clear threshold (∼184.4 μJ cm^{-2}) distinguishes linear spontaneous emission from superlinear ASE and spectra narrowing (fwhm = ∼5 nm), which are the features of ASE. The ASE position of the QDs has a red shift (∼7.5 nm) relative to the spontaneous emission peak, resulting from the attraction between excitons of type-I QDs and the thickness-related mode selection effect.46,61 For #3 ML sample, the threshold is decreased to 118.2 μJ cm^{-2}. Upon further shelling to 6 and 9 ML, the ASE threshold increased to 164.8 and 213.3 μJ cm^{-2}. Moreover, the relative decrease of overlap between the electron and hole wave function is proved by the smaller red shift (∼5 nm) due to the further alloy in the interface and the change of bieexciton combination energy. By the way, for #12 ML sample, no ASE behavior could be observed even if the pump intensity was increased toward 900 μJ cm^{-2}, and the emission peak did not shift, mainly due to the large defect density serving as a nonradiative center, which accelerates the AR process.
A vertical cavity surface emitting laser (VCSEL) containing the #3 ML QDs was fabricated by drop casting high-concentrated (~100 mg/mL) hexane/octane (v/v = 5:1) QD solution to form a thick film (~52um) as the gain material on a distributed Bragg reflector (DBR) with refractivity more than 99% ranging from 465 to 605 nm, as depicted in Figure 7a. Then, ~300 nm SiO$_2$ and 60 nm silver film were deposited by using thermal evaporation. SiO$_2$ layer was used to protect the QD’s excitons characteristic from absorption of silver. Under low pump intensity, many narrow fluorescence peaks appear in the microcavity spectra (~3 nm), which are obvious Fabry–Perot resonances. The resonance peaks can be assigned according to the following equation,$^{52}$ $m \lambda = 2 L_{\text{effective}}$, where $m = 101–106$ is the resonance number and $\lambda$ is the emission wavelength; $L_{\text{effective}} = \lambda^2/\Delta \lambda$ is the effective microcavity length.$^{53}$ Figure 7b shows the fluorescence intensity spectra of the microcavity under different pump intensities. When the pump intensity is over the threshold (~100 uJ cm$^{-2}$), the fwhm of mode number 103 and 104 is narrowed to ~1.1 nm with the abrupt increase of the modes’ output intensity as shown in the inset of Figure 7b. These two modes are all in the range of the ASE spectrum, which consumes most of the population inversion that these modes can lase. The spatially coherent emission of a laser beam$^{15}$ was observed here with a bright spot on the plane 5 cm behind the cavity. The quality factor of the microcavity ($Q = \lambda/Q_{\text{fwhm}}$) is ~510.

**CONCLUSION**

In conclusion, we used a one-pot method to prepare the gradient alloy structure CdZnSeS QDs coated with different thicknesses of ZnS shell and systematically studied the effect of ZnS shell thickness on the QD’s multiexciton emission characteristics. Due to the effective passivation and finite lattice strain without forming interfacial defects, blinking and AR process are suppressed in the case of 3 ML ZnS shell, and its ASE threshold is decreased toward ~106.7 $\mu$J cm$^{-2}$. Taking advantage of the excellent gain characteristics of these QDs, a low-threshold (100 $\mu$J cm$^{-2}$) spatially coherent multimode laser is obtained. Moreover, a thick shell will lead to stress accumulation that induces more interfacial defects, which increases the probability of trapped multiple excitons and

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**Figure 5.** (a) Pump-intensity-dependent ASE spectra of #3 ML QDs film; the inset presents the AFM image of the QD film’s surface (below) and the evolution of the integrated emission intensity as a function of the pump intensity, showing superlinear behavior over the threshold. (b) Average threshold of all samples.

**Figure 6.** Evolution of transient spectra of #3 ML QD’s film with different pump power. The right plot shows the corresponding decay trace at the ASE peak (~540 nm).
reduces the gain properties. This work provides an excellent thin-shell CdZnSeS/ZnS as a green color gain material, which can be utilized in realistic laser devices.

**ASSOCIATED CONTENT**

**Supporting Information**
The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.jpcc.1c02029.

Single-dot fluorescence trace of the core, #6 ML, and #12 ML sample with corresponding intensity distribution histograms and $P_{on}$ and $P_{off}$ distribution; event duration probability fitted results of $\mu_{on}$ and $\mu_{off}$; PL decay traces and ASE spectra of the core, #6 ML, #9 ML, and #12 ML with increasing pump fluence (PDF)

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**Notes**
The authors declare no competing financial interest.

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