Bulk Synthesis of Crystalline and Crystalline Core/Amorphous Shell Silicon Nanowires and Their Application for Energy Storage

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Silicon micro- and nanostructures have captured much attention since 1964 due to the interest in studying the effect of diminution of size and dimension on its physical properties.1–5 Silicon nanowire has been exploited for its application as a building block in bottom-up nanoelectronics,6,7 photovoltaics,8–10 biosensors,11 and energy storage devices.12 Having the ability to synthesize high-quality and a large quantity of Si nanowires is an important factor in the process to investigate the electrical, optical, and electrochemical properties of the material and in realization of the aforementioned applications. In many of the studies, crystalline and crystalline core/amorphous shell (c-core/a-shell) Si nanowires were synthesized via the vapor–liquid–solid (VLS) growth mechanism.1,13 however, very often in small quantity. Si nanowires have been synthesized on many different substrates. For instance, vertically grown Si whiskers were synthesized on the Si(111) wafer first reported by Wagner, and he conducted a thorough fundamental study on the growth mechanism of this nanomaterial.1 Cui et al. conducted an investigation of the relationship between the size of the catalyst and the diameter of the resulting Si nanowires on Si/SiO2 wafers.6 Chan et al. underwent the study of the electrochemical properties of Si nanowires on stainless steel as an anode material for Li-ion batteries.12 These syntheses can be defined as growth on two-dimensional (2-D) planar substrates, and often a relatively small quantity of nanowires is grown with this method. The ability to synthesize bulk quantities of Si nanowires is advantageous in many of the aforementioned applications. Different mechanisms for achieving growth of bulk quantities of nanowires have been actively studied.5,14–18 In particular, Wang et al. explored the concept of the synthesis of a high quantity of germanium nanowires on irregular silica particles. In his experiments, he had proven that a higher quantity of nanowires can be produced by replacing the 2-D substrates with three-dimensional (3-D) substrates.16,17 One of the many important applications of Si nanowires in bulk quantity is the study of expending Si nanowires as the active material in the anode of Li-ion batteries. In a traditional slurry electrode fabrication process, which is used for commercialized batteries, a current collector is coated with a layer of slurry of amalgamation formed by active materials, composite of ionic conduction, and binder.19 Tens of milligrams of the active material are required in the processing of traditional slurry electrodes.

ABSTRACT Silicon nanowires (NWs) have stimulated significant interest and found numerous applications; however, many applications will require a bulk quantity of nanowires to be synthesized in a reliable way. In this paper, we report the bulk synthesis of silicon nanowires on millimeter scale Al2O3 spheres with a thermal chemical vapor deposition system (CVD) via the vapor–liquid–solid (VLS) growth mechanism. The spherical substrates enable the realization of Si nanowire synthesis on three-dimensional surfaces in comparison with the synthesis on a planar, two-dimensional wafer substrate. By modifying temperature in the recipe of synthesis, both single-crystalline and crystalline core/amorphous shell Si nanowires were obtained with this nanowire-on-spherical-support method. Conspicuous distinction in crystallinity of the nanowires was revealed by transmission electron microscopy characterization. The crystalline core/amorphous shell Si nanowires were utilized to form the anode of Li-ion battery half-cells with the traditional slurry method. Galvanostatic measurement demonstrated that the maximum power capacity achievable by the electrodes was 3500 mAh/g and capacity sustained at 1100 mAh/g after 60 cycles of charging and discharging.

KEYWORDS: silicon nanowires · spheres · bulk synthesis · three-dimensional synthesis · Li-ion battery · crystalline core/amorphous shell

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Synthesis of silicon nanowires in bulk quantity can enable the material to be adopted in the commercially compatible slurry electrode fabrication process. In this paper, we report a scalable methodology for synthesis of Si nanowires via the vapor–liquid–solid (VLS) mechanism. The nanowires were synthesized three-dimensionally (3-D) on aluminum oxide (Al₂O₃) millimeter spheres decorated with gold (Au) nanoclusters. Both single-crystalline and crystalline core/amorphous shell Si nanowires were obtained with this method by varying the growth recipe. The crystallography of the nanowires was characterized by high-resolution transmission electron microscopy (HRTEM) and electron diffraction patterns. The c-core/a-shell Si nanowires were utilized as the active anode material in Li-ion battery half-cells to demonstrate an application of the Si nanowires grown with this scalable method. The specific capacity of the half-cells was sustained at 1100 mAh/g after 60 cycles as measured in the galvanostatic charge–discharge experiment.

RESULTS AND DISCUSSION

In order to achieve synthesis of Si nanowires in a scalable manner, Al₂O₃ spheres with diameters ranging from 0.79 to 1.18 mm (Glen Mills, Inc.) were used as the supporting substrate for the synthesis. In this study, the Si nanowires were synthesized in a low-pressure chemical vapor deposition (LPCVD) system, as illustrated in Figure 1a. To form the catalytic Au nanoclusters for the growth, Au film of 2 nm was deposited on the surface of the spheres by e-beam evaporation. Thermal annealing of the sphere covered in Au film was performed at 530 °C under 20 Torr of pressure with constant flow of 100 sccm of H₂ for 30 min. At elevated temperature, Au nanoclusters were formed during the annealing process, as shown in Figure 1b. Our method works as a simple and reliable way to achieve gold nanoclusters with narrow diameter distribution, which is sufficient for producing silicon nanowires for certain applications such as an anode for a Li-ion battery. The Al₂O₃ spheres decorated with Au nanoclusters were
Si nanowires have been reported to be synthesized via the VLS mechanism in various substrates including Si wafers, Si/SiO₂ wafers, and stainless steel foil. In all of these reports, the nanowires were synthesized on a two-dimensional planar substrate. Three-dimensional growth substrates had been proven to contribute to the higher quantity of nanowire synthesis due to their large surface areas. In order to achieve bulk synthesis of Si nanowires, we conducted studies of silicon nanowire growth on a 3-D substrate, namely, on Al₂O₃ spheres. The spherical Al₂O₃ substrates provided a large surface area for the nanowire growth, hence resulting in high nanowire quantity. To the best of our knowledge, this is the first report of Si nanowires synthesized on Al₂O₃ spheres via the VLS mechanism. The surface of the liquid Au–Si alloy droplets formed during the VLS growth process has a large accommodation coefficient, which gives rise to the preferential deposition of Si atoms onto the liquid droplet as explained by Wagner. The advantageous characteristic allows Si nanowires to be synthesized on any substrate, hence allowing the Al₂O₃ sphere to be a valid choice for the growth substrate of the nanowire synthesis. The two photographic images in Figure 1c show the difference in the external appearance of the Al₂O₃ spheres before and after the synthesis. There is an apparent change in the color of the spheres, from white to brown. A typical SEM image of Si nanowires synthesized on a single Al₂O₃ sphere is illustrated in Figure 1e. The sphere is clearly covered with a high density of nanowires grown in random directions, which demonstrates the validity of three-dimensional synthesis. Nanowires were not only grown on Al₂O₃ spheres situated on the surface of the sphere stack but also can be observed on the surface of the spheres buried underneath. Due to the large diameter of spheres, the precursor (SiH₄) can diffuse through the interstices between the spheres situated on the surface and can reach the buried spheres during the synthesis. The property mentioned above can enable the spheres to be stacked in multiple layers, results in enhancement of loading during each round of the synthesis, and gives rise to synthesis of Si nanowires in bulk quantity. The Si nanowires can be easily removed from the surface of the spheres by applying ultrasonication on spheres in solvent (i.e., isopropyl alcohol) or by simply exerting vibration on the spheres in solvent. In order to extract nanowires from the solution, the solution was dispersed onto a piece of a microscope slide, and at elevated temperature (80 °C), the solvent was evaporated, leaving behind a layer of nanowire film. After removing the nanowire film from the microscope slide, the nanowires appeared as black materials being contained in a glass vial, and the total weight of the nanowires in the vial was 80 mg, as depicted in Figure 1d. To further increase the yield of the synthesis method, the growth chamber along with the furnace can be oriented in the vertical direction, as demonstrated in Figure 1f. The aforementioned configuration of the growth chamber enables a higher quantity of Al₂O₃ spheres to be loaded, hence leading to a higher yield of the Si nanowires. Photographic images of the Al₂O₃ spheres before and after synthesis are shown in Figure 1f. The SEM image of an Al₂O₃ sphere with as-grown Si nanowires in the inset of Figure 1f demonstrates the validity of the scheme.

Both single-crystalline and crystalline core/amorphous shell Si nanowires were successfully synthesized by the nanowire-on-sphere methodology. The two types of Si nanowires have been found in applications reported in literature including energy storage devices, transistors, and photovoltaics. Having the ability to produce both single-crystalline and c-core/a-shell Si nanowires in bulk quantity provides a source for scaling up the aforementioned nanowire-related applications, and one example is the anode for a Li-ion battery. Figure 2a shows a typical SEM image of the as-grown crystalline core/amorphous shell Si nanowires on Al₂O₃ spheres, which were synthesized under a constant flow rate of SiH₄ and H₂ at 111 and 20 sccm, respectively. The growth temperature was elevated to 530 °C and was under 100 Torr of pressure (detailed description of the synthesis can be found in the Methods section). The nanowires are between 40 and 100 μm in length, and the density is approximately 6 nanowires per μm² (NWs/μm²). From the inset of Figure 2a, a Au particle can be clearly observed on the tip of a single nanowire, giving evidence to the fact that the nanowire growth was based on the VLS mechanism. Figure 2b is a typical TEM image showing the core/shell morphology of the nanowire. The clear contrast reveals the conspicuous boundary between the core and the shell. To illustrate the c-core/a-shell structure of the nanowires, a high-resolution transmission electron microscopic (HRTEM)
image is presented in Figure 2c. The periodic planes in the crystalline core give rise to constructive interference of the deflected electron waves in the TEM and resulting in lattice fringes, as shown in the figure. There is a clear boundary between the fringes resulting from crystalline lattice of the core and the amorphous shell of the Si nanowire in Figure 2c. The spacing between contiguous planes in the Si nanowire was measured to be 3.32 Å based on the lattice fringe depicted in Figure 2c. The plane spacing corresponds to the (200) direction as the preferential growth direction of the nanowire. The majority of the nanowires synthesized at the aforementioned growth condition exhibit diameters ranging between 151 and 200 nm, as illustrated in Figure 2d. A Gaussian fit of nanowire diameter distribution yields a mean value of 149 nm and a standard deviation value of 40 nm. The variation in diameter of the nanowires can be ascribed to the property of lateral diffusion of the Au droplets during the annealing process and during the growth.24 Study has shown that the Au droplet might migrate during the growth from smaller Au droplets to large ones, hence resulting in variation of diameter of the nanowires.24 The amorphous shell of the nanowires can be attributed to the simultaneous deposition of Si via the vapor–solid (VS) mechanism during the growth.25 The VS deposition increases with temperature, and later in this paper, it will be shown that the amorphous layer can be eliminated by conducting the synthesis at a lower temperature. The crystalline core/amorphous shell silicon nanowires can be a highly desirable candidate working as the active anode material in Li-ion batteries, as reported previously by Cui et al.13

Single-crystalline Si nanowires had also been synthesized on the Al2O3 spheres with a similar reaction recipe. The process of the synthesis was almost the same as the procedure mentioned in the previous section of this paper except for the growth temperature, which was controlled at 455 °C in order to attain the single-crystalline nanowires (detailed description of the synthesis can be found in the Methods section). The volume of the Si–Au alloy can be controlled by the temperature during the VLS process,25 and Westwater illustrated that Si nanowires with a smaller diameter can be obtained at lower temperature than that at higher temperature.3 An SEM image of the as-grown nanowires on a sphere is shown in Figure 3a. The average length of the nanowires is estimated to be 10 μm, as shown in the figure, which is at least 4 times shorter than that of the crystalline core/amorphous shell nanowires. The density of the nanowires is approximately 10 NWs/μm². The inset in Figure 3a shows the Au particle tip formed at one end of a Si nanowire, and that reveals that the nature of the growth was based on the VLS mechanism. A typical TEM image of the Si nanowire is illustrated in Figure 3b. The diameter of the nanowire is 48 nm in the image, which is 3 times smaller than the diameter of the c-core/a-shell Si nanowires. The spacing between contiguous planes in the Si nanowire was measured to be 3.32 Å based on the lattice fringe illustrated in Figure 3c. The plane
spacing corresponds to the (200) direction as the preferential growth direction of the nanowire. The diameter of the nanowires synthesized under the aforementioned condition varies from 10 to 70 nm, with the majority of the diameter of the nanowires between 30 and 60 nm, as illustrated in Figure 3d. A Gaussian fit of nanowire diameter distribution yields a mean value of 44 nm and a standard deviation value of 11 nm. As it was shown by the results, crystalline Si nanowires can be synthesized on the Al2O3 spheres by varying the growth temperature in the reaction chamber. These crystalline nanowires with smaller diameter can be used to study their electronic transport properties. The electrical properties of the Si nanowires can be improved by incorporating n-type or p-type dopant atoms into the nanowires with this scheme. Gaseous phase dopants, such as phosphine (PH3) or diboran (B2H6), can be introduced into the reaction chamber during the synthesis to achieve doping of the nanowires.6,26

As it was mentioned in the earlier part of this report, crystalline core/amorphous shell Si NWs have been demonstrated to be a desirable anode material for Li-ion batteries.13 In order to demonstrate one of the many applications of our Si nanowires obtained by the bulk synthesis scheme, we conducted a series of studies in the electrochemical properties of the crystalline core/amorphous shell Si nanowires. The c-core/a-shell Si nanowires were mixed with ion conducting composite and binder to form a layer of uniform slurry. Anode is formed by dispersion of the slurry on a copper sheet, as the current collecting layer. The anode is assembled into a coin cell in conjunction with electrolyte and lithium counter electrode (detailed description of the fabrication process can be found in the Methods section). The nanowires were utilized as active materials in the electrode of electrochemical cells. The cyclic voltammetry (CV) profile of the Si nanowire electrodes was obtained by a potentiostat (Gamry, Reference 600) using a potential window of 0.01 to 3.0 V for three cycles. The result of the CV measurement is depicted in Figure 4a with scan rate of 0.05 mV/s. When the potential was incremented from 3.0 to 0.01 V during the first discharging cycles, the Si nanowires exhibited typical crystalline core/amorphous shell features. First, there is an apparent peak at around 250 mV due to lithiation of the amorphous Si shell, which agreed with the previous thin film study conducted by Maranchi et al.27 Second, the small peak near 130 mV is attributed to the amorphorization of crystalline Si during the first lithiation process.12,28

Furthermore, further discharging results in the formation of a new phase, Li15Si4, from the amorphous Li–Si alloy at the peak potential around 55 mV, which was suggested by a previous study on Si powder and films.29 Upon the charging process, the peak at 390 mV indicates a transformation by means of the coexistence of two phases of both crystalline and amorphous Li–Si alloy.29 After the first cycle of discharge and charge between 3.0 and 0.01 V, the peak for crystalline Si was drastically diminished, demonstrating that the crystalline core/amorphous shell structure was completely transformed into the amorphous phase. The second and the third cycles match relatively well, which implies that the system had reached a steady state.

Figure 3. (a) FESEM image of single-crystalline Si nanowires grown an Al2O3 sphere. Inset: crystalline silicon nanowire with a Au particle at its tip. The scale bar is 100 nm. (b) TEM image of a single-crystalline Si nanowire having the growth axis in the (111) direction. (c) HRTEM image showing the lattice fringes of the nanowire. Inset: electron diffraction pattern from the silicon nanowire. (d) Diameter distribution of the single-crystalline nanowires.
Figure 4b,c illustrates the galvanostatic (GV) charging and discharging measurements, carried out by a battery testing system (MSTAT, Arbin), determining the specific capacity (C\text{sp}) and the Coulombic efficiency of the devices in a two-electrode configuration. Computation of different current rates was based on the capacity in the first discharging process. Figure 4b is the charging—discharging performance of the Si nanowire electrodes for 40 cycles with a current rate of C/30. The slow cycling current reveals much electrochemical information related to the electrode structure. In the initial state, the potential dropped to 200 mV and was maintained at a prolonged flat plateau at around 100 mV, then gradually decreased to zero. This plateau resulted from the coexistence of partially lithiated amorphous Si shell accompanied by amorphorization of a crystalline Si core. The capacity in the first discharging cycle reached up to 3500 mAh/g, very close to the theoretical capacity of silicon.\textsuperscript{30} For the following cycles, the Si nanowire electrodes display relatively high capacity value at both current rates for 60 cycles, which are more than two factors of the specific capacity value exhibited by the commercially available graphite anodes. The high specific capacity can be attributed to the small dimension of the nanowires, which enables better ion accommodation, and the one-dimensional electronic pathway gives rise to improved charge transport. The Si nanowire electrode exhibits relatively small irreversible capacity of 10%. The retention of C/10 current rate is higher than that of the C/30 rate. That may be attributed to different degrees of Si involvement in the lithiation/delithiation process. For C/30, the small current allows the electrode materials to react more thoroughly; therefore, more Si nanowires can participate in lithiation, resulting in higher specific capacity but at the same time larger volume expansion. The large volume expansion could damage the intact electrode structure, thereby having a negative effect on capacity retention.

Moreover, the Coulombic efficiency of our devices was calculated and plotted in Figure 4d, which further demonstrates the excellent battery performance of the Si nanowires obtained from the bulk synthesis method. In the second cycle, the efficiency at both the C/30 and C/10 current rate was increased to 90%, and in the cycles following that, the efficiency was sustained at around 98—99%. The efficiency is comparable to the efficiency of the anodes in commercial Li-ion batteries. The only difference in Coulombic efficiency for the two current rates is that the charging—discharging experiment conducted under the higher current rate required a few additional cycles to reach 99% Coulombic efficiency value. That is caused by the fact that, with
larger current, more cycles of repeated lithiation are required to transform all crystalline core/amorphous shell structures into the amorphous phase.

By now, the bulk synthesis of silicon nanowires and their application for Li-ion batteries has been elucidated. We note that our bulk synthesis approach can be applied to produce many other nanowire materials, for instance, indium oxide NWs, tin oxide NWs, gallium nitride NWs, germanium/silicon core–shell nanowires, via LPCVD, and III–V nanowires with MOCVD. These nanowires can be exploited for a wide variety of applications that may require bulk quantity, for instance, nanowires in thin film transistors for display applications and electronic skins. We further note that bulk synthesis of nanowires can also be potentially achieved by utilization of other techniques such as fluidized-bed synthesis, which is currently being investigated in our group.

In summary, the scheme of incorporating millimeter-sized Al2O3 substrates as the support for VLS growth of Si nanowires was successfully demonstrated. Bulk quantity of Si nanowires was obtained by stacking a large number of the spheres in the reaction chamber during the synthesis. Both crystalline and crystalline core/amorphous shell Si nanowires were synthesized on the spheres by variation in temperature of the growth recipe. The as-synthesized crystalline core/amorphous shell Si nanowires were used as the active material and were processed using the slurry method to form half-cells for electrochemical study. Galvanostatic measurement demonstrated that the maximum power capacity achievable by the electrodes was 3500 mAh/g, and capacity was sustained at 1100 mAh/g after 60 cycles of charging and discharging. The results demonstrated one of the viable applications of the Si nanowires synthesized with the proposed scheme.

METHODS

Synthesis of Crystalline Core/Amorphous Shell Si Nanowires and Single-Crystalline Si Nanowires. For synthesis of crystalline core/amorphous shell Si nanowires, the millimeter scale Al2O3 spheres decorated with Au nanoclusters were placed in a quartz boat, which was placed at the center of a growth chamber inside a horizontal tube furnace. The chamber was evacuated to 20 Torr and was purged with ultrahigh-purity hydrogen gas for 10 min to remove oxygen in the chamber. The temperature of the chamber was increased from room temperature to 530 °C in 10 min. At 530 °C, SiH4 was introduced into the chamber at 111 sccm and the H2 flow rate was controlled at 20 sccm. The pressure inside the chamber was maintained at approximately 100 Torr during the growth, and the growth time was 25 min. At the end of the growth, SiH4 gas was turned off and the flow rate of H2 was adjusted to 100 sccm, while the chamber was cooled to room temperature. The procedure for the synthesis of single-crystalline Si nanowires is almost the same as the one for synthesis of crystalline core/amorphous shell nanowires, except the growth temperature was maintained at 455 °C.

Fabrication of Si Nanowire Anodes. The electrodes were made by mixing the Si nanowires with Super P conductive carbon black and sodium carboxymethylcellulose (CMC) (MW 90,000, Aldrich) in water (10 wt %) to form a uniform slurry and then spread onto a copper foil using a stainless steel blade. The electrode was dried at 50 °C overnight in air and was maintained at room temperature in Ar for 2 h just prior to cell assembly to remove any residual water vapor. The loading density of our Si nanowires was estimated to be 1 mg/cm². Then CR2032 coin cells were assembled in an Ar-filled glovebox using the as-prepared Si nanowire anodes as working electrodes and lithium metal foil as counter electrodes. The electrolyte was 1 M LiClO4 dissolved in a 1:1 (weight ration) mixture of ethylene carbonate (EC) and diethyl carbonate (DEC).

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REFERENCES AND NOTES
