Today’s Agenda

• SR Announcements
• Federal Sponsor Updates
• CERES
  – Basic Concepts & Navigation
  – Demo
Announcements from Sponsored Research
Sponsored Research Staffing

• Proposal & Award Acceptance
  – Sponsored Research Officer

• Subcontracts Management
  – Sponsored Research Officer
  – Assistant Sponsored Research Officer (multiple)

• Awards Management
  – Awards Management Associate (multiple)
Change Request Processing

• SR is deprioritizing Change Requests (CRs) August 1 - 31, 2022, except for those related to incoming awards

• If you have a time-sensitive CR (i.e., a CR related to a sponsor deadline that falls during August) use the CR Prioritization Request Form to submit a request for prioritized review.

• Reminder: Check CR status in InfoEd at any time
  – Use the How to Check Status in InfoEd job aid for assistance.

• Read more
  – CR Processing at FY End
  – CR Prioritization Request Process
FY End Award Set-up Reminders

- Get back to SR as quickly as possible on awards that are awaiting department action.
- If your budget includes subawards, include the specific entity name(s), detail(s), and amount(s) for each.
- Refrain from sending status check emails. If InfoEd shows a system status of awarded, know that it is in our queue and we will get it set up as soon as we can.
- Awards are processed in the order they were received.
Federal Sponsor Updates
Extended Grants.gov Downtime

• Grants.gov planned production system outage to migrate services to the Cloud:
  – Friday, September 23 - Thursday, September 29
• NIH has announced their impacted deadlines:
  – NIH and AHRQ deadlines that fall between September 22 - 30 will move to October 3.
  – Full details: NIH Notice NOT-OD-22-190 “Adjustments to NIH and AHRQ Grant Application Due Dates Between September 22 and September 30, 2022”
• Agencies other than NIH will have their own policies and procedures for the Grants.gov downtime; SR will send updates as they are announced.
Downtime Functional Impacts-InfoEd

• InfoEd users will still be able to create, develop, and review applications in Proposal Development.
  – They just cannot be submitted during the Grants.gov downtime.

• NIH pre-submission validation and image building will continue to function from InfoEd.

• Users will NOT be able to submit (or resubmit).
  – Clicking the “Submit to Grants.gov” button during this period will result in failure. Submissions will not be queued for later processing.
# Upcoming NIH Due Dates

<table>
<thead>
<tr>
<th>Due Date</th>
<th>Description</th>
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<tbody>
<tr>
<td>September 25*</td>
<td>All - new, renewal, resubmission, revision</td>
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<tr>
<td>*moved to Oct. 3</td>
<td>• Program Project Grants and Center Grants (P-series)</td>
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<td></td>
<td>• Research Demonstration Edu. Projects (R18, U18, R25)</td>
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<td></td>
<td>• <em>Institutional</em> National Research Service Awards (T-Series)</td>
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<td></td>
<td>• Multiple other activity codes (C, D, G, S, U)</td>
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<tr>
<td>October 5</td>
<td>• R01 (new)</td>
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<tr>
<td></td>
<td>• U01 (new)</td>
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<tr>
<td>October 12</td>
<td>• Research Career Development (new) K-series</td>
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<tr>
<td>October 16 (Sunday)</td>
<td>• Other Research Grants and Cooperative Agreements (new) R03, R21, R33, R21/R33, R34, R36, U34, UH2, UH3, UH2/UH3</td>
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Other NIH Items of Note

- FORMS-H coming for January 2023 deadlines (NIH Notice NOT-OD-22-195)
- NIH Data Management and Sharing Policy
  - Implementation details released on August 5th (NOT-OD-22-189)
  - Several upcoming DMS Policy-related webinars:
    - August 23: Northwestern Sponsored Research SPA Series: Data Management Sharing Plans with staff from Northwestern Libraries and Research Computing (Register now)
    - September 22: NIH DMS Webinar Series Part 2: Diving Deeper into the New NIH Data Management and Sharing Policy (Register now)
CERES Basic Concepts & Navigation
Today’s Agenda

- Basic Concepts & Navigation
  - CERES Across the Lifecycle
  - Structure & Status

- Working in CERES Demo
Basic Concepts & Navigation
Program Timeline

- Design-Build Iterations
- CERES Implementation
- End-to-End Testing
- Training
- Cutover Tasks
- Go-Live
CERES Across the Lifecycle

Funded

• Just in Time or similar

FP: Award Anticipated

FP: Funding Proposal

• Competing
• Tags for prelim/LOI

Agreements*

• Bilateral award negotiation

AWD: Award

• Budget reconciliation in system

SUB: Subaward

• SR proactively initiates

• Post-award nonfinancial requests

AMR: Award Modif Request

MOD: Award Modification

• Automated interface to NUFinancials

Non-Funded

Agreements*

• MTA
• DUA
• NDA

* Agreements can exist as stand-alone or can be related to other projects (funding proposal, award or agreements)

Northwestern
## Basic Concepts & Navigation – Structure & Status

<table>
<thead>
<tr>
<th>SINGLE ID</th>
<th>MULTIPLE IDs</th>
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<tbody>
<tr>
<td>Currently, Proposals and Awards are tracked as one item with one identified, known as the SP Number.</td>
<td>Proposals, awards, and agreements are distinct elements within CERES, each with a separate unique identifier, tied together and easy to navigate between on related records.</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>STATUS DIFFICULT TO FIND</th>
<th>VISUAL WORKFLOW</th>
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<tr>
<td>The process of viewing workflow status requires users to navigate to multiple screens depending on context.</td>
<td>Insight into workflow progress will be easily visible in CERES, bringing transparency to processes. The drill downs work similarly from screen to screen.</td>
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</tbody>
</table>
Basic Concepts & Navigation – Sample Workflow

Funding Proposals

- (Pre-Route)
- (SR Review)
- (Submitted)
- (Awarded or Unfunded)

Flowchart:
1. Draft → Department Review → Clarification Requested
2. Specialist Review → Clarification Requested
3. Sponsor Review → Changes Required → (JIT)
4. Complete
Working in CERES Demonstration
Demonstration Topics

- Navigating Dashboards
- Module Basics
- Finding a proposal, seeing a workflow status
- Navigating from the proposal to an award and to an agreement
What Is Next
What to Expect

1. New CERES Core Concepts Meeting Series Beginning in September

2. Defining the journey to get stakeholders ready for go-live; details will be shared next month.

3. We are beginning some targeted workgroups to ensure processes & handoffs are clear and work for both Campus & Sponsored Research
Questions?
Join us for the next CLEAR Meeting:

Tuesday, October 11, 10:00am