CLEAR Year-end Webinar (December 2020)

Hosted by Sponsored Research
December 10, 2020
Today’s Agenda

• Announcements
  – Staffing
  – Winter break / holiday office closure reminder
  – 2021 CLEAR scheduling
  – NIH due date reminders

• Sponsor System Updates

• InfoEd Updates, Reminders, and Previews
Sponsored Research Staffing

• **Award Management**
  – Grants Assistant (Two (2) openings posted)

• **Grants Management**
  – Assistant Director (In active recruitment)

• **Subcontract Management**
  – Assistant Grants Officer (In active recruitment)

• **Research Contracts**
  – Senior Associate Director (re-posting)
  – Assistant Director (FILLED! Senior Contracts Officer Sierra Clark begins in this new role on December 14)
Winter Break / Holiday Office Closure

Thursday, December 24 – Friday, January 1

• CLOSED: Northwestern’s academic and administrative offices, including Sponsored Research

• During this time services will be suspended; plan accordingly!

• Sponsored Research will reopen with normal business hours on Monday, January 4, 2021
CLEAR Schedule 2021

• Starting in February 2021 moving to bi-monthly meetings/webinars on the second Tuesday of the month, 10:00am
  – Tuesday, February 9, 2021
  – Tuesday, April 13, 2021
  – Tuesday, June 8, 2021
  – Tuesday, August 10, 2021
  – Tuesday, October 12, 2021
  – Tuesday, December 14, 2021
## Upcoming NIH Due Dates

<table>
<thead>
<tr>
<th>Due Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 25</td>
<td>All - new, renewal, resubmission, revision</td>
</tr>
<tr>
<td>(Monday)</td>
<td>• Program Project Grants and Center Grants (P-series)</td>
</tr>
<tr>
<td></td>
<td>• Research Demonstration Edu. Projects (R18, U18, R25)</td>
</tr>
<tr>
<td></td>
<td>• Institutional National Research Service Awards (T-Series)</td>
</tr>
<tr>
<td></td>
<td>• Multiple other activity codes (C, D, G, S, U)</td>
</tr>
<tr>
<td>February 5</td>
<td>• R01 (new)</td>
</tr>
<tr>
<td>(Friday)</td>
<td>• U01 (new)</td>
</tr>
<tr>
<td>February 12</td>
<td>• Research Career Development (new) K-series</td>
</tr>
<tr>
<td>(Friday)</td>
<td></td>
</tr>
<tr>
<td>February 16</td>
<td>• Other Research Grants and Cooperative Agreements (new) R03, R21, R33,</td>
</tr>
<tr>
<td>(Tuesday)</td>
<td>R21/R33, R34, R36, U34, UH2, UH3, UH2/UH3</td>
</tr>
</tbody>
</table>
Sponsor System Updates
Research.gov / FastLane Quiz

Q: Which directorate at NSF is the first to require research.gov submission for no-deadline program solicitation proposals?
A: Biological Sciences (BIO)

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Q: How soon will that directorate begin requiring research.gov usage?
A: Began re-issuing solicitations in October, with a 90-day grace period

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Q: When will FastLane be retired?
A: No firm date but likely within the next 1-2 years
FastLane->Research.gov

• **Implementation update** – BIO directorate in 90-day grace period, soon the proposals for no-deadline solicitations will require Research.gov
  – Other directorates will be following soon…

• **Research.gov demo site** now available
  – FastLane demo site has been retired

• Coming in February: report back from a GO-RA team testing out Research.gov

• Helpful links
  – [About Research.gov Services](#)
ORCID Integration Updates

- ProposalCentral now integrated with ORCID
  - Webinar: ORCID & ProposalCentral: How to get funding, get credit and save time
- NSF Fillable PDF Bio Sketch
  - Takeaway: It’s worth the time to get the SciENcv version set up!
- NIH is working with the SciENcv team to create the online template for NIH Other Support
- Updates to SciENcv/ORCID integration
  - Feature to reduce number of authors in a citation (NSF)
  - NIH reportedly working on process to write awards to ORCID profiles of investigators
  - NIH plans to integrate “My Bibliography” with ORCID so that users can transfer citations from “My Bibliography” to their ORCID record (on NIH roadmap)
eRA Commons Website Changes

- Coming January 2021: New home screen and landing screen
- 9-square apps icon at top left replaces navigation bar
NIH RePORTER Changes
DUNS → UEI

• Government transition from DUNS number to new Unique Entity Identifier (UEI) extended to April 2022
  – Some agencies will transition sooner
  – More info from GSA

• Local systems at Northwestern (InfoEd, NUFinancials) will be updated accordingly to accommodate the new number
### FY20 Sponsor Mix

#### Proposals by Sponsor

<table>
<thead>
<tr>
<th>Sponsor</th>
<th>Total Request Amount</th>
<th>YoY Difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIH</td>
<td>$2,457.6M</td>
<td>2%</td>
</tr>
<tr>
<td>NSF</td>
<td>$353.3M</td>
<td>1%</td>
</tr>
<tr>
<td>DOD</td>
<td>$173.0M</td>
<td>9%</td>
</tr>
<tr>
<td>DOE</td>
<td>$163.1M</td>
<td>117%</td>
</tr>
<tr>
<td>Other DHHS</td>
<td>$47.0M</td>
<td>-49%</td>
</tr>
<tr>
<td>Other Federal</td>
<td>$41.6M</td>
<td>-37%</td>
</tr>
<tr>
<td>Industry</td>
<td>$129.7M</td>
<td>-1%</td>
</tr>
<tr>
<td>Other Non-Federal</td>
<td>$226.8M</td>
<td>-3%</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>$3,592.1M</strong></td>
<td><strong>2%</strong></td>
</tr>
</tbody>
</table>

#### Awards by Sponsor

<table>
<thead>
<tr>
<th>Sponsor</th>
<th>Reporting Award Amount</th>
<th>YoY Difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIH</td>
<td>$485.4M</td>
<td>19%</td>
</tr>
<tr>
<td>NSF</td>
<td>$58.9M</td>
<td>-4%</td>
</tr>
<tr>
<td>DOD</td>
<td>$45.8M</td>
<td>-9%</td>
</tr>
<tr>
<td>DOE</td>
<td>$23.2M</td>
<td>-29%</td>
</tr>
<tr>
<td>Other DHHS</td>
<td>$23.5M</td>
<td>29%</td>
</tr>
<tr>
<td>Other Federal</td>
<td>$15.3M</td>
<td>-18%</td>
</tr>
<tr>
<td>Industry</td>
<td>$149.9M</td>
<td>28%</td>
</tr>
<tr>
<td>Other Non-Federal</td>
<td>$85.4M</td>
<td>-8%</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>$887.3M</strong></td>
<td><strong>11%</strong></td>
</tr>
</tbody>
</table>

*See [OR Metrics page for FY20](https://example.com) wrap-up totals*
Questions?
InfoEd Updates
Agenda

• Non-Funded Negotiations
• Subaward Module
• Small Updates and Reminders
NON-FUNDED NEGOTIATIONS
NFN: What’s Changing?

• Form Updates
• Record Tabs
• Workflow
• Job Aids
NFN: Record Tabs

• Internal Documents tab gone
• New tabs: Generic Face Page and Finalize
NFN: Generic Face Page

• Change title and PI

Project Summary Information

* Project Title
  above cda - update title

* Institution Number
  SP0070044  Set

* Sponsor:
  AbbVie Inc.

Principal Investigator

Name * Krentz, Sara L

Position/Title  Business Systems Analyst

Degree(s)

Major

Subdivision:
NFN: Change PI

- Recommend Replace and Remove Current PI in most circumstances
- Select new PI from the personnel search list and click Add New PI
NFN: Finalize Tab

- Build the form, submit route

This section allows you to assemble the final generated from the system into PDF document. The first step is to indicate which "sequence" has been set, but you may choose a different one. You may also indicate which pages you would like to review this document carefully. This is your final review at this point. Sequencing or page numbers may require you to visit that section of the project completed sections in order to make changes to the final version will be saved.
NFN: Routing

- When everything is complete, submit the final review
NFN: Routing Approvers

- The only route step is the notification to Sponsored Research
NFn: Workflow

• Received by OSR
  – In queue for negotiation

• Negotiation in Process
  – Contracts Officer working on agreement

• Departmental Revisions Requested
  – Update the forms in PD
  – Send item back to Sponsored Research
NFN: CDA vs MTA/DUA

- CDAs are handled by a different team
- When sending CDA back to SR, select the specific negotiator who sent to you
- When sending MTA/DUA back, InfoEd will route to the team and no need to select the specific negotiator
NFN Recap

• Form updates: question changes still being finalized
• Changes to tabs and to workflow
• Job Aids will be updated
  – Located on our website, https://osr.northwestern.edu/jobaids/
• Rollout anticipated in early 2021
SUBAWARDS MODULE
What’s a Subaward?

• This module is specifically for *outgoing* funding to other organizations
• Incoming subawards are handled like other direct incoming funding
What’s the Subaward Module?

- Separate section of InfoEd specifically for managing outgoing subawards
- Replaces new and amendment subcontract Change Requests
- Risk assessment
- Agreement templates
- Data connections to other modules
Where is the Subaward Module?
Subaward Request

Create Subaward

Method:
- Link to existing Proposal

Select proposal to associate Subaward to or enter the Proposal Number.
- SP0070035
- Select
- Browse for Proposal

Select existing Subaward
- Add New

<table>
<thead>
<tr>
<th>INST/CONTRACTOR NAME</th>
<th>SUBAWARD PI</th>
<th>SUBAWARD NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Massachusetts Institute of Technology</td>
<td>Shepard, Jaclyn</td>
<td>SUB0000032</td>
</tr>
</tbody>
</table>

CREATE

- New Subcontract - Proposed
- New Subcontract - After Award
- Subcontract Amendment

Create Subaward
Subaward Request: Add New

Select existing Subaward

Add New

Select Subrecipient
Begin typing to select a Subaward Institution name

Select PI
Begin typing to select a subaward PI

Title

Select Budget Period or Increment to Associate Subaward to.

Requested Budget

<table>
<thead>
<tr>
<th>ADD TO:</th>
<th>DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Budget Period * per (1)</td>
<td>9/1/2020 - 8/31/2021</td>
</tr>
</tbody>
</table>

Awarded Budget

<table>
<thead>
<tr>
<th>ADD TO:</th>
<th>DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>New per (1)</td>
<td>9/1/2020 - 8/31/2021</td>
</tr>
<tr>
<td>Noncompeting Continuation per (2)</td>
<td>9/1/2021 - 8/31/2022</td>
</tr>
<tr>
<td>Noncompeting Continuation per (3)</td>
<td>9/1/2022 - 8/31/2023</td>
</tr>
</tbody>
</table>
Subaward Optimizations

• Subaward attached to Proposal/Award and to Subrecipient profile
• Reduce data duplication and re-entry
  – Risk assessment process
  – Agreement templates
Subaward Data Connections

• Current State: CR, agreement tracking, budget are completely separate
• Future State
Subaward Recap

• Separate area for outgoing subawards
• Replaces New and Amendment CRs
• Streamlines Sponsored Research internal processes
• Provides better data and tracking
• Coming early 2021
  – Will have updated job aids and another presentation
REMINDERS AND SMALL UPDATES
Approval Job Aid

• New format (will match other Sponsored Research job aids on our website)
• Approval email will link to new job aid instead of MyHR Learn
Routing/Workflow Reminders

- Industry Clinical Trials should use the Clinical Trial Approvals route
- Industry Clinical Trials should use the Under OSR Clinical Trial Admin Review status
  - Everything else uses OSR Admin Review, including industry fellowships and basic research
LawGeex

• AI tool for contract analysis
• Pilot for clinical trials currently underway
• Future plans
  – Cross-system integrations
  – Streamline submission of industry clinical trials
Northwestern InfoEd Personnel

• Northwestern people
  – Including students and residents
  – Select from dropdown list
  – If missing from list, contact us for help
External InfoEd Personnel

- Non-Northwestern consultants or OSC
  - Select external consultant or OSC roles
  - Then, select external institution
  - If institution isn’t in list, submit CR to add new sub

![Add Personnel Form](image_url)
Need System Help?

- [https://osr.northwestern.edu/helpcentral/](https://osr.northwestern.edu/helpcentral/) for all help needs
- If emailing: [osr-info@northwestern.edu](mailto:osr-info@northwestern.edu)
  - No need to copy individuals
- Please fill out Commons form on website
  - Reduces back-and-forth follow up questions
  - Commons accounts can be updated more efficiently
Questions?
Happy Holidays!

Join us in 2021 for the next CLEAR webinar:

Tuesday, February 9, 10:00am