CLEAR Monthly Meeting
Collaboration in the Research Ecosystem

February 18, 2020 (Evanston)
February 20, 2020 (Chicago)
Today’s Agenda

• Announcements
• CBC Transition Project
• Collaborating with:
  – Lurie Children's Hospital
  – Research Office At Radiology (ROAR)
• Hot Topics: InfoEd Upgrade
Announcements: Staffing

Sponsored Research is now hiring:

• Grants Management Team
  – Associate Grants Officer (#37896)
  – Assistant Grants Officer (#37895)

• Research Contracts Team
  – Contracts Officer (#38172)

• Subcontracts Team
  – Grants Officer (#38240)
Announcements: Federal Policy / System Updates

- New NSF PAPPG (issued January 24)
  - Effective for proposals submitted or due, and awards made, on or after June 1, 2020
  - Current and Pending Support FAQs

- NIH Salary Cap Update for FY2020
  - New salary levels effective January 5, 2020
  - NIH Guidance on Salary Limitation for Grants & Cooperative Agreements (NOT-OD-20-065)

- New NIH NRSA Stipend, Tuition/Fees, and Other Budgetary Levels (released February 7)

- …and thinking ahead: NIH eRA downtime in April (April 17-20) - more info to come
CBC Transition Project
CBC Grant Processing Update

- **Chicago Biomedical Consortium (CBC)** institutions: Northwestern University, University of Illinois at Chicago (UIC), and University of Chicago

- Sponsored Research processing of CBC grants will change as follows:
  - Proposal, award setup, and post award processing (e.g. change requests) for Northwestern individual projects will be assigned in accordance with GO and GA constituency assignments
  - Award issuance for administration and project-specific awards for CBC institutions will continue to be handled by Subcontracts Management

- March 1, 2020: Go Live (anticipated)
Lurie Children’s Hospital of Chicago and Northwestern University

Sponsored Research Partnership

Jon Teuber
Director, Office of Sponsored Programs
Ann & Robert H. Lurie Children’s Hospital of Chicago
Stanley Manne Children’s Research Institute
Today’s Presentation

• Sponsored research at Lurie Children’s

• Partnership: Lurie Children’s OSP and Northwestern University OSR

• Working with Lurie Children’s
  – Proposals
  – Awards and subawards
  – Clinical trials and research contracts
Sponsored Research Overview

- 150 actively funded investigators
- 200 active clinical trials supported by industry
- 35 laboratory-based research programs
- Research structured under four strategic directives
- Research management and administration structure
- Vision 2025 goals: building toward $100M in annual sponsored research
Lurie Children’s Sponsored Research Portfolio FY2019

FY2019: $49M in awards for sponsored research and programs
Sponsored Research Partnership

Shared goals, research administration:
• Identify solutions to challenges in grant and contract management and other aspects of sponsored research

• Improve administration of sponsored research projects which include patient care at our hospitals and medical centers
Pediatrics & Children’s Health Research

All Lurie Children’s physicians and investigators:
- are faculty of the Feinberg School of Medicine
- utilize and share resources across Northwestern and Lurie Children’s
- submit proposals & secure subrecipient commitments through Lurie Children’s OSP

Lurie Children’s clinical divisions and Manne Research Institute programs:
- provide research resources, facilities, and project commitments, and employ investigators & staff
- approve investigator effort, project budgets and institutional commitments
- ensure scientific alignment with SMCRI programs:
  - Basic Science Research
  - Translational Science
  - Clinical Trials
  - Health Policy and Outcomes
Northwestern University and Lurie Children’s:

- Faculty research partnerships across both Chicago (Feinberg) and Evanston campuses (primarily McCormick, Communication)

- In FY2019, a record number of sponsored research partnerships between Northwestern & Lurie Children’s:
  - **145 active awards**
  - **139 proposals** submitted by either NU-OSR or LCH-OSP involving formal partnerships between Northwestern & Lurie Children’s
Best Practice: Proposals

- Clear communication (RAs–OSP–OSR)
- Adequate timing for preparation & thorough review
- Budget considerations
- Formal sign-off by both institutions
- Unique grant mechanisms
  - Career development and training awards
  - Limited submissions

Deadline planning:
- Routing & approvals (Lurie Children’s and Northwestern)
  - Biosketches
  - Facilities
  - PI/Co-I Effort
  - Budget - direct & indirect costs
  - Shared costs
  - FOA-specific documents
- Formal subrecipient commitments

Sponsor deadline
OSP/OSR final review & successful submission
Best Practice: Award Management

- Clear communication (RAs–OSP–OSR)

- OSP and OSR post-submission, award and subaward processes

- Regulatory and award compliance
  - IACUC
  - IRB
  - award-specific considerations

- Timing for award acceptance and subaward issuance

- Recipient and sub-recipient institutional responsibilities

Pre-Award to Post-Award Stages:
- Other Support
- Regulatory approvals
- Budget changes

**Award acceptance**

**Subaward issuance**
- Financial considerations
- Prior approvals
- Effort reporting
- Progress reports

Post-submission / JIT

End date of award and/or budget period
Best Practice: Clinical Trials/Contracts

- Clear communication (RAs–OSP–OSR)
- OSP and OSR contract and budget negotiation processes
- Intersection with regulatory and support teams
- Material sharing and data sharing considerations
- Clarifying involvement and costs across both institutions

Study feasibility
- Regulatory approvals
- Costs / Payment Structure
- Start-up and support units
- Subcontract commitments
- Contract execution
- Subcontract issuance
- Financial considerations

Study Initiation
Ann & Robert H. Lurie Children’s Hospital of Chicago
Stanley Manne Children’s Research Institute (SMCRI)

Office of Sponsored Programs (OSP)

Simpson Querrey Biomedical Research Center
SMCRI Research Administration – 10th floor

luriechildrens.org/research

Contact information on Researcher Toolkit
Research Office at Radiology

Presented by:
Jessica Anaya Agosto, Sr. Research Administrator
Julie Anne Blaisdell, Research Operations Manager
OVERVIEW

• Research Office at Radiology structure
• Budgeting for Radiology Services
  - NIH versus industry
  - Center for Translational Imaging (CTI)
  - Hospital services (NMHC)
• Common Issues
• Get in touch early
Radiology Research Operation

Department of Radiology  Chair: James C. Cari, MD

Research Office At Radiology (ROAR)
Vice Chair for Research, Michael Markl, PhD

Research Operations

Julie Blaisdell
Research Operations Manager

Yasmeen Khan
Regulatory Coordinator

Kristie Kennedy
Ayesha Muzaffar
Elona Liko Hazizi
Brenda Herrera
Daniel Gordon
Research Project Managers & Coordinators

Research Administration & Finance

Heidi Maes
Associate Department Administrator

Jessica Agosto
Senior Research Administrator

Abby Hagler
Ruben Galvez
Nicole Arnold
Cynthia Collazo
Research Admin  Financial Admin  Senior Accounting Specialist  Office Manager

Research Core Facilities

CTI  AIAL  QICL

Research Faculty & Focus Areas

Advanced Body Imaging
Breast Imaging
Cardiovascular & Thoracic Imaging
Interventional Radiology & Image-Guided Therapy
Molecular, Preclinical & Nanomedicine
Musculoskeletal Imaging
Neuroimaging & Interventions
Oncologic Imaging
Quantitative Image Analysis & AI
Students, Postdocs Residents & Fellows

Clinical Faculty & Sections

Body Imaging
Breast Imaging
Cardiovascular (CV)
Chest Imaging
Musculoskeletal Imaging (MSK)
Neurointerventional Radiology
Neuroradiology
Nuclear Medicine
Vascular Interventional Radiology (IR)
Residents & Fellows

Northwestern | RESEARCH
Center for Translational Imaging (CTI)

- Research focus:
  - Small Animal Imaging
  - MRI Cardiovascular and Neuroimaging

- Rates are set internally and differ by scanner type

- Benefits to using CTI:
  - Easier scheduling
  - Lower costs

For more information, please contact us or visit https://cti.northwestern.edu
NMHC Budgeting

• All other Radiology Services go through the hospital (i.e. ultrasound, x-ray, body imaging)
• Rates are set by Northwestern Memorial Hospital Chicago (NMHC) and released annually; refer to NMG and NMH fee schedules
• Determine:
  – Type of scan needed
  – Standard of Care (SOC) or Research
    • If SOC, imaging will go through NMHC
    • If cardiovascular or neuro MRI, is CTI an option?
• Other things to consider when using NMHC:
  – Does study require interpretation by Radiologist?
    • Is the read standard or non-standard?
  – For industry trials, does sponsor require a specific protocol?
    If so, depending on complexity, it may no longer be SOC

❖ Note on NIH vs Industry Trials
COMMON ISSUES

A couple considerations:

• Radiology provides services to almost every department across Northwestern Medicine

• Not all (MRI, CT, PET, etc.) scans are created equal
COMMON ISSUE #1

THE SPONSOR INDICATES THAT THE IMAGING IS STANDARD OF CARE, BUT IT’S NOT…

As a result:
• You may need to pay for research scans that haven’t been budgeted for
• Radiology may not be capable of completing the imaging according to your study protocol
Common Issue #2:

The imaging requires a non-standard radiologist read

As a result:

- You may not be able to proceed with your study until the agreement is amended to include a radiology co-investigator (with appropriate % effort)
COMMON ISSUE #3:

THE STUDY’S IMAGING PROTOCOL CAN ONLY BE RUN ON CERTAIN SCANNERS

As a result:
• If you’re planning on frequent or a high volume of scans, we may not be able to accommodate you
Contact Us

We can assist with:

- Determining if the study can be run through CTI
- If NMHC, we can assist w/identifying CPT codes and correct pricing for NMHC services
- Help determine if read is a standard or non-standard read
- For industry trials, we can review the sponsor’s protocol to determine if imaging is SOC

Contact info:
Jessica Anaya Agosto
Senior Research Administrator
jessica-anaya@northwestern.edu
Questions?
Hot Topics: InfoEd Upgrade
When Is It?

• Thursday, February 20\textsuperscript{th}, 5:00 PM
  – Deadlines
  – Expected Timeline
What’s Changing?

• Code update for new module implementation
• Bug fixes
• Look and feel largely the same
  – Minor UI changes
Record Creation

• Current state

• Future state
What’s Changing:
Bulk Entry (Budget Pages)

Current: allows for no inflation and limit to 5 budget categories

Future: will allow adding new rows and using inflation calculations
What’s Changing: Action Item Completion

• Current: Automatic closing

• Future: No more automatic closing; will instead display completed timestamp
What’s Changing: Subaward Order

Current

Future: Drag and drop to re-order subawards on personnel page
What’s Changing: Personnel Order

Current

Future

- Drag and drop re-ordering
- (Personnel page only)
What’s Changing:
Action Item / Assignments

• Current

- **SP0659175 (Sponsored Project) - In Pre-Route**
  - TEST for GM_IE_PD_06 manual proposal creation 2
  - Kozlowski, Derek R
  - Dashboard Assigned 13 days ago

- **SP0659166 (Sponsored Project) - In Pre-Route**
  - TEST for GM_IE_PD_07 Continuation
  - Kozlowski, Derek R
  - Dashboard Assigned 13 days ago

• Future: Action Item / Assignments widget will be grid format
Action Items Grid

• Hot tip: if the homepage is too small, try the Action Items tab for expanded grid

  ![Action Items Grid Image]

• Every column has built-in filtering options which can be combined

  ![Filter Options Image]
Action Items Grid: Proposal Filters

- Filter proposals by…
  - Owner (PI)
  - Status
  - Assigned Date
Action Items Grid: CR Filters

• Filter change requests by...
  – Object (which form)
  – Owner (RA who created)
  – Status
  – Assigned Date
What’s Changing: “Done” Button

Current

Future: Available by itself!
Future: WebSSO

• WebSSO being replaced for entire university
• Likely weekend downtime for implementation in InfoEd
  – Will be announced in advance
Future: Non-Funded Negotiations

• Face Page for changing title and PI
• Sendback method using workflow
• Updated form questions for CDA and MTA
Future: NIH Forms F

• Current NIH rollout for May 25, 2020
• InfoEd will be patched for form updates
Future: SubAward Process

• Project to implement new Subrecipient and Subaward modules in InfoEd
• Lots of changes for central staff
• Some department changes (ex: CR submission)
Questions?
Looking Ahead

• Upcoming NIH Cycle I Deadlines for Renewals, Resubmissions, Revisions:
  – March 5:  R01 & U01
  – March 12: K-series
  – March 16: Various Research Grants & Cooperative Agreements (R03, R21, R33, R21/R33, R34, R36, U34, UH2, UH3, UH2/UH3)

• Next CLEAR Meetings:
  – Tuesday, March 17, 10:00am (Evanston)
  – Thursday, March 19, 12:30pm (Chicago)
Thank you for joining us!

Find meeting slide decks at: https://osr.northwestern.edu/presentations/