



## Growth models of dyadic synchrony and mother–child vagal tone in the context of parenting at-risk



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### ABSTRACT

We used multilevel modeling to examine dynamic changes in respiratory sinus arrhythmia (RSA) and observer-coded interactive synchrony for mother–child dyads engaged in a laboratory interaction, to characterize parenting-at-risk. Seventy-nine preschooler–mother dyads including a subset with documented child maltreatment (CM;  $n = 43$ ) were observed completing a joint puzzle task while physiological measures were recorded. Dyads led by CM mothers showed decreases in positive synchrony over time, whereas no variation was observed in non-CM dyads. Growth models of maternal RSA indicated that mothers who maintained high levels of positive interactive synchrony with their child evidenced greater RSA reactivity, characterized by an initial withdrawal followed by augmentation as the task progressed, after accounting for CM group status. These results help to clarify patterns of RSA responding in the context of caregiver–child interactions, and demonstrate the importance of modeling dynamic changes in physiology over time in order to better understanding biological correlates of parenting-at-risk.

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Research on parenting-at-risk has documented adverse effects of harsh parenting on the development of a child's ability to self-regulate their autonomic physiology and behavior (Calkins, Graziano, Berdan, Keane, & Degnan, 2008; Rothbaum & Weisz, 1994). At the extreme end of this continuum, child maltreatment (CM) has been linked to an increased risk for emotional and behavioral dysregulation (Cicchetti & Toth, 2005), disruption of stress neurophysiology (Pollak, 2008), and adverse mental health outcomes (Kaplow & Widom, 2007). Likewise, impairments in self-regulation constitute a significant contributing factor for CM parenting and have been reported in perpetrators of CM and those at risk for CM (Deater-Deckard, Sewell, Petrill, & Thompson, 2010; Stern & Azar, 1998). Parents at risk for CM display greater reactivity to child-specific and neutral stimuli, difficulties regulating their emotions and behavior, and higher resting heart rate and greater sympathetic activation than do non-CM parents (Joosen, Mesman, Bakermans-Kranenburg, & van IJzendoorn, 2013; McCaune & Hagstrom, 1996). Thus, understanding the nature of regulatory deficits associated with parenting at-risk could be greatly informative to interventions aimed at preventing the hostile, controlling, and intrusive interaction styles characteristic of CM parenting (e.g., Wilson, Rack, Shi, & Norris, 2008). Physiological

measures of self-regulation are particularly well-suited for investigating this question because they reflect real-time reactivity to context and can forecast moment-to-moment shifts in the quality of parenting observed during the act of parenting (e.g., Skowron, Cipriano-Essel, Benjamin, Pincus, & Van Ryzin, 2013). This study was designed to characterize the quality of maternal–child dyadic interactions and the trajectories of respiratory sinus arrhythmia (RSA) for mothers and children during a joint puzzle task, with a specific focus on the potential moderating role of CM status.

Research using observations of structured interactions in laboratory and household settings to investigate CM family interactions has revealed rich insights that help to inform critical targets of intervention. For example, interactions between CM parents and their children are less positive and devolve into negative exchanges that escalate over time (e.g., Knutson, DeGarmo, Koepl, & Reid, 2005; Reid, Patterson, & Loeber, 1981). CM parents display more consistent, contingent negative responses to their children's negative behaviors and less contingent positive responding to their children's prosocial behavior (e.g., Dumas & Wahler, 1985; Wahler, Williams, & Cerezo, 1990), and are more likely to respond to their preschoolers' efforts to assert age-appropriate autonomy with strict, harsh control (Skowron et al., 2011). Although meta-analysis indicates that interventions for CM lead to self-reported improvements in parent and child functioning, most interventions fail to result in improvements in the quality of observed parenting (e.g., Skowron & Reinemann, 2005; but see Chaffin et al., 2004; Chaffin,

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Funderburk, Bard, Valle, & Gurwitch, 2011, for important exceptions).

Positive interactive synchrony in mother–child dyads is defined as the temporal coordination of micro-level positive social behavior (Feldman, 2007) and is characterized by reciprocity and mutually contingent responsiveness (Harrist & Waugh, 2002). In infancy, the experience of positive synchrony with one's caregiver is thought to serve relational, homeostatic regulatory functions (Tronick, 1989), whereas in the preschool years, the experience of positive interactive synchrony supports and enables children's developing autonomy, self-control, and social skills (Harrist & Waugh, 2002). Research in normative samples shows that healthy mother–infant and mother–toddler interactions are characterized by high rates of positive interactive synchrony, interspersed with brief ruptures that are successfully repaired, returning the dyad back into positive synchrony (e.g., Cohn & Tronick, 1987, 1988; Harrist, Pettit, Dodge, & Bates, 1994; Rocissano, Slade, & Lynch, 1987). In contrast, mothers at high risk for CM show greater rates of interactive miscoordination, characterized by mother-initiated ruptures, and fewer successful repairs, relative to low-risk families (Skowron, Kozlowski, & Pincus, 2010). Critically, the degree of positive interactive synchrony children experienced with a caregiver is linked with individual differences in children's physiological and behavioral regulation abilities (Gianino & Tronick, 1988; Porter, 2003), but no published studies to date have begun to clarify the role of maternal physiology in achieving positive dyadic synchrony with their child.

The parasympathetic nervous system (PNS) has been proposed as a biological system underlying many of these crucial facets of behavior, particularly emotional and physiological reactivity and regulation in the context of social engagement (e.g., Porges, 1995, 2001; Thayer & Lane, 2000). The PNS has been implicated in the down-regulation of autonomic arousal via the influence of the vagus nerve on heart rate variability, often indexed by rhythmic fluctuations in heart periods at the respiratory frequency, known as respiratory sinus arrhythmia (RSA; Berntson, Cacioppo, & Quigley, 1993). According to Porges' polyvagal theory (Porges, 1995, 2001), PNS control is mediated by neural mechanisms that allow rapid responses to changing demands in the environment, in particular the ability to dynamically regulate physiological arousal (e.g., cardiac output) to foster engaging or disengaging with situational demands. Phasic changes in RSA are often couched in terms of RSA augmentation and withdrawal from baseline levels, due to their association with increases and decreases in activation of the parasympathetic nervous system, respectively (e.g., Beauchaine, 2001). Decreases in RSA index the withdrawal of PNS influence on the heart, leading to cardiac acceleration to support the metabolic requirements involved in mobilization and attentional engagement, while increases in RSA index an augmentation of PNS influence on the heart, resulting in a slowed heart rate that promotes calm behavioral states, self-soothing, and social engagement (e.g., Berntson et al., 1993; Porges, 2001). Indeed, a number of findings have documented an association between augmented RSA and elevated levels of social engagement and positive emotionality (Geisler, Kubiak, Siewert, & Weber, 2013; Holt-Lunstad, Uchino, Smith, & Hicks, 2007; Kok & Fredrickson, 2010). Neuroimaging studies further support the role of this system in social behavior, as medial prefrontal regions implicated in fluctuations in PNS activity overlap with regions commonly observed in social neuroscience research (see Thayer, Åhs, Fredrikson, Sollers, & Wager, 2012).

Much of the existing research on RSA has characterized the role of baseline, or resting values, and task difference scores (e.g., Beauchaine, 2001; Berntson et al., 1993; Porges, 1995; Thayer & Lane, 2009). In children and adults, higher levels of baseline RSA have been associated with greater regulatory capacity and attentional control (Beauchaine, 2001; Fox, 1989; Mezzacappa, Kindlon,

Saul, & Earls, 1998), whereas lower values of resting RSA have been linked to increased risk for emotion dysregulation and a broad range of psychopathologies (Beauchaine, 2001; Graziano & Derefinko, 2013; Light, Kothandapani, & Allen, 1998; Thayer, Friedman, & Borkovec, 1996). When examining RSA during a given task relative to baseline levels, increases in RSA (i.e., RSA augmentation) typically relate to increased efforts to regulate one's emotions (Butler, Wilhelm, & Gross, 2006; Ingjaldsson, Laberg, & Thayer, 2003; Skowron et al., 2011). Conversely, decreases in RSA (i.e., RSA withdrawal) have been associated with psychological stress (Berntson, Cacioppo, Quigley, & Fabro, 1994) and negative emotionality (Beauchaine, 2001).

In the context of parenting, most research on RSA has focused on the impact on child RSA, with few studies examining the role of RSA in parents. For children interacting with their mothers, increases in child RSA have been consistently linked to greater social engagement and maternal care (Feldman, Singer, & Zagoory, 2010; Hastings et al., 2008; Moore & Calkins, 2004; Moore et al., 2009). RSA findings for parents are less clear, with conflicting results about whether optimal parenting is associated with RSA increases (e.g., Hill Soderlund et al., 2008; Skowron et al., 2011; Weisman, Zagoory-Sharon, & Feldman, 2012) or decreases (e.g., Mills-Koonce et al., 2009; Moore et al., 2009). Higher baseline RSA in mothers has been associated with greater sensitivity to their infant during free play (Musser, Ablow, & Measelle, 2012), and a recent study showed that increases in parent–child engagement induced by oxytocin administration were associated with increased RSA during free play in parents and their children (Weisman et al., 2012). In contrast, others have reported that mothers rated high in maternal sensitivity show larger RSA decreases when reuniting with their infant during the still-face paradigm than less sensitive mothers (Moore et al., 2009). These conflicting results may be due to dynamic coupling between physiology and behavior that fluctuates over the course of an interaction and varies in directionality based on context and/or individual differences among the parents in question (Skowron et al., 2013). Given that the present experimental context of a mother and child seated together with a puzzle toy is more similar to a free play period than a stress-induction task such as the still-face paradigm, it follows that mothers in this study would likely show RSA increases in association with more positively-rated interactions with their child (e.g., Musser et al., 2012).

The primary goal of this study was to clarify whether increases or decreases in maternal RSA observed during interactions with one's child would be associated with positive or maladaptive parenting, by quantifying trajectories of changes in RSA during the course of a recording session. Such an approach moves beyond examining a single value of RSA change from baseline values and can be used to provide a finer-grained characterization of the dynamic nature of physiological changes during an interpersonal interaction (Brooker & Buss, 2010; Fortunato, Gatzke-Kopp, & Ram, 2013; Miller et al., 2013; Oppenheimer, Measelle, Laurent, & Ablow, 2013). For example, Fortunato et al. (2013) found that RSA responses dynamically varied over the course of emotion-inducing film clips, and that these variations reflected individual differences not captured by using static change scores alone (Fortunato et al., 2013). Brooker and Buss (2010) examined RSA reactivity in high-fear toddlers during a fear-eliciting task, and found that static RSA change scores predicted behaviorally coded boldness only among low-fear control children; however, dynamic, non-linear changes in RSA predicted individual differences in shyness behavior among high-fear toddlers. Given similar findings of nonlinear patterns of RSA reactivity in children (Miller et al., 2013) and in mothers interacting with their children (Oppenheimer et al., 2013), a more fine-grained temporal characterization of RSA dynamics represents a compelling next step in understanding the role of physiology in

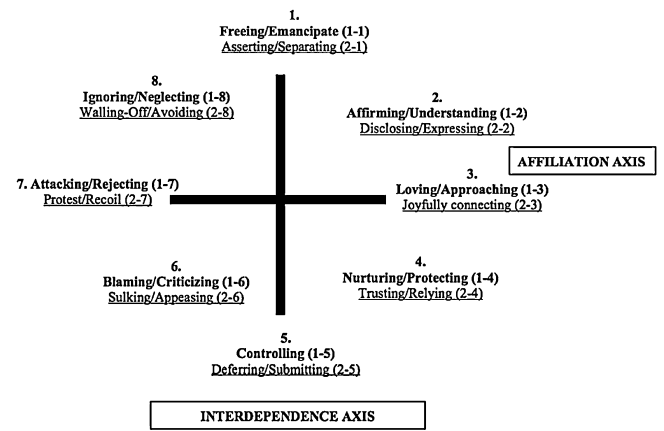
mother–child dyadic synchrony. This may be particularly true in at-risk populations who show disruptions in the functioning of self-regulatory systems during interactions (e.g., Skowron et al., 2013). We adopted this approach to examine growth models of RSA reactivity in children and their mothers during a social interaction.

The current study combines this microanalytic acquisition approach to quantifying the quality of mother–child interactions and a growth modeling analytic approach to quantify RSA change over time in mothers and their children as it relates to dyadic interactive synchrony. Specifically, moment-to-moment interactions were microcoded at the speaking turn level and quantified within 30-s blocks of time over the course of a 5-min joint puzzle task. During this time, RSA was recorded simultaneously in mothers and their children, and also quantified within 30-s blocks over the course of the task. Multilevel modeling was used to examine growth trajectories of positive interactive synchrony and RSA throughout the task. Based on previous findings (Hastings et al., 2008; Skowron et al., 2010), we investigated whether (1) mother–child dyads with a history of CM would demonstrate lower levels of positive interactive synchrony than non-CM dyads, and whether (2) levels of positive dyadic synchrony would relate to distinct growth patterns in RSA for mothers and children. Regarding the direction of the relationship between positive synchrony and RSA, several outcomes could be expected based on the mixed results in the existing literature. For example, greater interactive synchrony might be associated with increases in parasympathetic tone, reflecting efforts to regulate emotion during a positive social interaction (e.g., Butler et al., 2006; Hastings et al., 2008); conversely, greater interactive synchrony could be associated with decreases in parasympathetic tone, reflecting greater attentional focusing and engagement during the joint task (Beauchaine, 2001). We predicted that among the lower risk (i.e., non-CM) dyads in the sample, the extent of positive interactive synchrony observed may be unrelated to mothers' parasympathetic tone, following the notion that healthy parent–child dyadic states may be achieved independent of mother's physiological arousal in parents at low risk for child maltreatment (Skowron et al., 2013).

## 1. Method

### 1.1. Participants

Mother–child dyads were recruited, where the mother was 18 years of age or older, spoke fluent English, and lived with her preschool child. Initial recruitment efforts targeted families involved with Child Protective Services (CPS), specifically mothers who were documented perpetrators of CM. For comparison with the CM group, a sociodemographically similar sample was recruited from department of public welfare agencies and a database featuring information on birth announcements in local newspapers. Physiological recordings from both mother and her child during the lab visit were available from a total of 155 dyads. Given the novelty of the present statistical modeling approach, we excluded dyads that had missing RSA data for any single 30-s epoch during the joint puzzle task. This conservative approach was taken to reduce the likelihood of spurious results related to patterns of missing data, as ECG data is often unable to be processed during a given epoch due to movement-related artifact by the participant, also reducing the potential influence of motor activity on changes in RSA (e.g., Bush, Alkon, Obradović, Stamperdahl, & Boyce, 2011). As such, continuous RSA data throughout the task was available for a total of 79 mother–child dyads, split into groups of CM ( $n = 43$ ) and non-CM ( $n = 36$ ) families. Importantly, dyads included in final analyses did not differ from the dyads excluded for missing physiological data on any of the key variables of interest, specifically child gender, child age, maternal age, maternal years of education, CM status, child baseline and task RSA, maternal baseline and task RSA (all  $p$ s > .05). Comorbidity of CM subtypes was observed in 65.1% of this sample, consistent with previous reports (Belsky, 1993), and thus was collapsed across subtypes into a single CM group. The two groups, CM and non-CM dyads, were matched on child age (control,  $M = 3.75$ ,  $SD = .73$ , range = 3–5 years; maltreatment,  $M = 3.88$ ,  $SD = .79$ , range = 3–5 years),  $t(77) = -.77$ ,  $p = .44$ , maternal age (control,  $M = 31.22$ ,  $SD = 5.11$ , range = 23–42 years; maltreatment,  $M = 29.49$ ,  $SD = 6.67$ , range = 20–45 years),  $t(77) = 1.28$ ,  $p = .21$ , and child gender,  $t(77) = -.55$ ,  $p = .59$ . Despite efforts to recruit a socioeconomically comparable sample to the CM group, there were group differences in maternal education,  $t(77) = 4.261$ ,  $p < .001$ . Non-CM mothers reported more years of schooling



**Fig. 1.** SASB simplified cluster model. Labels in bold print describe actions directed toward another person. Labels in underlined print describe actions in response to the other.

Adapted from *Interpersonal Diagnosis and Treatment of Personality Disorders* (2nd ed.) by L.S. Benjamin (1996), New York, NY: Guilford Press. Copyright © 1996 by Guilford Press. Reprinted with permission.

( $M = 14.92$ ,  $SD = 2.92$ ) than CM mothers ( $M = 12.53$ ,  $SD = 2.03$ ). For statistical analyses, maternal years of education was used as a proxy variable for socioeconomic status.

### 1.2. Procedure

All materials and components of the procedure were approved by the Office for Research Protections. Families were recruited as part of a larger project investigating parenting behaviors and the development of children's self-regulation in families with and without a history of CM (e.g., Skowron et al., 2011). Mother–child dyads completed a three-visit protocol over a 2–3 week period, consisting of two at-home assessments and a 2.5 h laboratory session. Families were paid \$150 to complete the three visits, and in addition were provided transportation, snacks, and children's toys. The results presented herein only concern the laboratory session.

Upon arrival to the laboratory, both mother and child were fitted with electrodes for psychophysiological data collection. Then dyads completed a 5-min resting baseline period, while seated together on a comfortable couch and viewing a relaxing Baby Einstein video segment (<http://www.babyeinstein.com>). After the baseline period, mother and child were then seated together at a small table, and the child was provided with an assembled duplo-block object and 12 disassembled blocks to construct a replica. Mothers were instructed to verbally aid their child in building the object as they might typically, but were not allowed to physically touch any of the pieces. The task was stopped after 5 min of activity.

#### 1.2.1. Observational coding of dyadic synchrony

A key focus of this study was to examine variation in the level of positive interactive synchrony within mother and child dyads over the course of the joint puzzle task.<sup>1</sup> To operationalize positive synchrony, observational data from the mother–child joint task were coded using the Structural Analysis of Social Behavior (SASB; Benjamin, 1996, see Fig. 1). SASB coding provides a framework for characterizing the extent of positive dyadic interactive synchrony observed across sequentially ordered individual mother and child “speaking” turns. Mothers and children's videotaped interactions during the joint task were transcribed, unitized by speaking turn to delineate each coding unit, and subjected to SASB coding by a team of two trained coders blind to the family's maltreatment status. SASB coding began with the first codable event (i.e., first utterance by mother or child) in the sequence of mother–child interaction, and ended with the last codable behavior that occurred. Coders received 60 h of training using practice tapes to achieve sufficient reliability (weighted kappa > .7) prior to coding. Interrater reliability was calculated using Cohen's weighted kappa, a conservative method of computing agreement between raters on categorical codes that controls for the likelihood of chance agreement and penalizes judges for the magnitude of disagreement (Cohen, 1968).

<sup>1</sup> During the joint puzzle task, dyads spent a majority of their time either in positive synchrony ( $M = 54\%$ ) or in a state of dyadic mismatch ( $M = 42\%$ ). A small minority of the observed interactions classified as negative synchrony ( $M = 4\%$ ), with no difference between groups ( $p > .8$ ). While positive and negative synchrony are clearly defined as periods of three or more consecutive speaking turns of positive or negative exchanges, dyadic mismatch encompasses a heterogeneous group of parent–child exchanges (for more detail on the coding of behaviors underlying dyadic states, see Skowron et al., 2011).

The process of SASB coding a unit of behavior involves three steps: determining focus, degree to warmth/affiliation, and degree of interdependence (Humphrey & Benjamin, 1986). As shown in Fig. 1, SASB Clusters 2, 3, and 4, form a constellation of “positive” behaviors: (2: Affirm/Understand, Disclose/Express), (3: Love/Approach, Joyfully Connect), (4: Nurture/Protect, Trust/Rely). Conversely, relationships characterized by transactional patterns containing behaviors in Clusters 6, 7, and 8 (6: Blame/Criticize, i.e., harsh control, and Sulk/Appease, i.e., hostile submission), (7: Attack/Reject, Protest/Recoil), (8: Ignore/Neglect, and Wall-Off/Avoid behaviors), form those categorized as “negative” behaviors. Each speaking turn by the mother and child was assigned a SASB cluster code, following which, codes from proximal speaking turns were reviewed to characterize sequences of the higher-order dyadic state variable, positive interactive synchrony, defined as a minimum 3-step sequence of positive mother–child behaviors (i.e., M+, C+, M+; i.e., 3 mother–child transactions SASB-coded in Clusters 2, 3, or 4). The proportion of dyadic interaction spent in positive synchrony was calculated in terms of (a) epoch-level positive synchrony for each 30-s epoch, yielding 10 sequentially ordered scores across the joint task (level 1 variable), and (b) task-average positive synchrony scores, or the average across all 30-s epochs (entered as a level 2 variable in the multilevel growth models). As reported previously with this dataset (Skowron et al., 2013), interrater reliability was calculated on 15% of the full sample, with weighted kappas ranging from .52 to 1.0 ( $M = .74$ ), where values at .41 to .60 are considered “moderate”, values between .61 to .80 are “substantial”, and .81 to 1.00 are “almost perfect” (Landis & Koch, 1977).

### 1.2.2. Physiological data acquisition

Disposable Ag/AgCl electrodes were placed on mothers' and children's chests in a modified Lead II placement, on the distal end of the right clavicle, lower left rib cage, and lower abdomen. Electrocardiogram (ECG) data were acquired via Mindware Technologies (Gahanna, OH) ambulatory electrocardiograph MW1000A at a sampling rate of 500 Hz, and transmitted via a wireless signal to a computer monitored by a research assistant. The ECG signal was synchronized at acquisition with video recordings of behavior to enable time-synchronization of behavioral codes and RSA values. ECG data were then processed offline using Mindware Technologies HRV 2.6 analysis program. Trained research assistants visually inspected 30-s epochs for erroneously identified or missing heartbeats, and manually deleted or inserted as appropriate. The resulting interbeat interval time series was then subjected to a fast-Fourier transformation, and power in the respiratory frequency band was derived from the spectral density function to estimate RSA values. The RSA frequency band was set from 0.24 to 1.04 for children, and 0.12 to 0.40 for mothers. It should be noted that respiration data were only obtained from a small subset of participants from whom cardiac impedance data was collected ( $N = 13$  dyads), thus RSA values in this manuscript are only estimates of RSA based on activity in the respective frequency bands for children and adults.

For baseline data, RSA values were averaged across 30-s epochs of the 5-min task to create a single score. For RSA data collected during the joint puzzle task, multilevel modeling was used to analyze the values obtained from each 30-s epoch over the course of the task relative to baseline RSA. For each mother and child's RSA data, within-task RSA<sub>change</sub> scores were calculated for each 30-s epoch of the 5-min joint task as the difference in the RSA score during an epoch of the joint puzzle task, relative to the person's average RSA<sub>baseline</sub> score (i.e.,  $RSA_{change} = RSA_{baseline} - RSA_{task\ epoch}$ ). Although more dyads participated in the full study, only 79 dyads had clean, available RSA data for mother and child in both the baseline period and all epochs of the joint-task.

### 1.3. Analytic strategy

Multilevel modeling was employed to examine the moderating impact of CM status on growth models of positive dyadic synchrony and RSA during the joint puzzle task. Models were run separately for positive dyadic synchrony, mother RSA, and child RSA, and focused on identifying the extent of linear and/or quadratic change in the outcome of interest as a function of CM history, while controlling for person-level averages of positive synchrony and SES.<sup>2</sup> Multilevel models were specified within Hierarchical Linear Modeling (HLM) software (Raudenbush & Bryk, 2002), with growth parameters entered at Level-1 (within person or dyad) and CM group status and other covariates at Level-2 (between-person or dyads). Linear and quadratic coefficients for time were entered uncentered as the epoch number (1–10) and the square of each epoch number, respectively.

$$\text{Level 1: } Synchrony_{ij} = \beta_{0j} + \beta_{1j} * (Time_{ij}) + \beta_{2j} * (Time_{ij}^2) + r_{ij}$$

<sup>2</sup> Maternal education (number of years) was used as a proxy variable for socioeconomic status. Additional exploratory models were run to test for the effects of other covariates, such as child age and whether the duplo-block task was successfully completed. No interactions with group status or dyadic synchrony were observed, thus these covariates were left out of presented models in the interest of parsimony. Overall, correlations between average positive dyadic synchrony, baseline RSA, and task RSA were non-significant for both mother and child RSA.

**Table 1**  
Descriptive statistics for mother–child dyads by maltreatment group status.

	Maltreatment (N = 43)	Control (N = 36)
Participant characteristics		
Proportion of female children	.53 (.50)	.47 (.51)
Child age	3.88 (.79)	3.75 (.73)
Mother age	29.49 (6.67)	31.22 (5.11)
Maternal education (years)	12.53 (2.03) <sup>†</sup>	14.92 (2.92) <sup>†</sup>
Joint-task outcomes		
Proportion who completed task	.35 (.48)	.33 (.48)
Number of epochs (task length)	8.56 (1.83)	8.78 (1.59)
Proportion of positive synchrony	.52 (.28)	.58 (.31)
Baseline child RSA	6.43 (1.24)	6.35 (1.34)
Baseline mom RSA	5.57 (1.48) <sup>†</sup>	6.18 (1.27) <sup>†</sup>
On-task child RSA	5.68 (1.09)	5.66 (1.20)
On-task mom RSA	5.47 (1.36)	5.82 (1.29)

Note: Values shown are means (and standard deviations).

<sup>\*</sup> Significant group difference at  $p < .01$ , two-tailed.

<sup>†</sup> Marginal trend at  $p < .07$ .

$$\text{Level 2: } \beta_{0j} = \gamma_{00} + \gamma_{01} * (Group_j) + \gamma_{02} * (Avg. Synchrony_j) + \gamma_{03} * (SES_j) + u_{0j}$$

$$\beta_{1j} = \gamma_{10} + \gamma_{11} * (Group_j) + \gamma_{12} * (Avg. Synchrony_j) + \gamma_{13} * (SES_j) + u_{1j}$$

$$\beta_{2j} = \gamma_{20} + \gamma_{21} * (Group_j) + \gamma_{22} * (Avg. Synchrony_j) + \gamma_{23} * (SES_j) + u_{2j}$$

Similar models were run with mother and child RSA as DVs to examine growth trajectories of RSA and the moderating impact of variables of interest. In these models, baseline RSA and overall task RSA were entered as level-2 predictors, along with CM group status and covariates.<sup>3</sup> The outcome variable in these models was RSA reactivity measured via within-task RSA<sub>change</sub> scores.

$$\text{Level 1: } RSA_{changeij} = \beta_{0j} + \beta_{1j} * (Time_{ij}) + \beta_{2j} * (Time_{ij}^2) + r_{ij}$$

$$\text{Level 2: } \beta_{0j}, \beta_{1j}, \beta_{2j} = \gamma_{00} + \gamma_{01} * (Group_j) + \gamma_{02} * (Avg. Synchrony_j) + \gamma_{03} * (RSA_{baseline_j}) + \gamma_{04} * (RSA_{task_j}) + u_{0j}$$

## 2. Results

### 2.1. Growth model of dyadic synchrony by CM status

There was a significant main effect of group status on positive dyadic synchrony ( $\gamma_{01} = .22$ ,  $t(75) = 2.12$ ,  $p < .001$ ), controlling for all other effects listed in the model (Tables 1 and 2). This main effect was qualified by significant group  $\times$  time and group  $\times$  time<sup>2</sup> interactions; CM dyads had a greater linear decrease in positive synchrony over the course of the task ( $\gamma_{11} = -.11$ ,  $t(75) = -2.22$ ,  $p = .030$ ) and a more pronounced quadratic change in positive synchrony ( $\gamma_{21} = .01$ ,  $t(75) = 2.04$ ,  $p = .045$ ). As seen in Fig. 2, positive synchrony for CM dyads sharply decreased at the onset of the task, followed by a return to task-average levels. In contrast, non-CM positive synchrony showed a relatively flatter trajectory of change during the task. It should be noted that overall task levels showed no CM group differences in the proportion of mother–child interactions spent in positive synchrony averaged across the task (non-CM = .58, CM = .52;  $t(77) = .92$ ,  $p = .36$ ), yet CM group differences emerge in the dynamic levels of positive synchrony observed over the course of the task.

### 2.2. Growth models of RSA reactivity in mothers and children

Given that growth models of positive dyadic synchrony indicated that there is meaningful variance across risk groups in the

<sup>3</sup> Child age, SES, and task completion were entered as covariates in exploratory models but demonstrated no significant effects. For parsimony, we only report reduced models here.

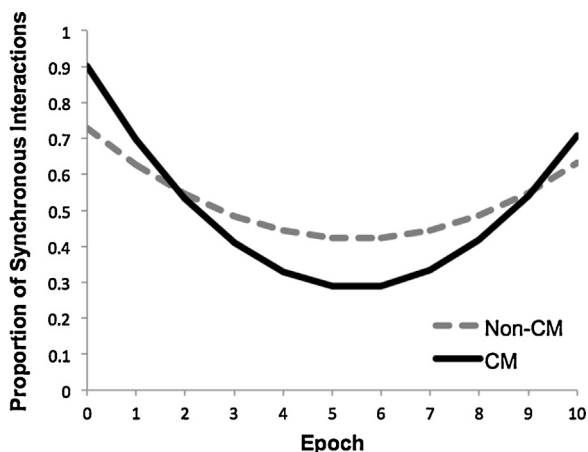
**Table 2**  
Multilevel model of linear and quadratic growth in positive dyadic synchrony.

	Coefficient	SE
Intercept, $\pi_0$		
Intercept, $\beta_{00}$	-0.410	0.300
Group, $\beta_{01}$	<b>0.224*</b>	<b>0.106*</b>
Synchrony, $\beta_{02}$	<b>0.870***</b>	<b>0.169***</b>
SES, $\beta_{03}$	0.035	0.020
Linear growth, $\pi_1$		
Linear slope, $\beta_{10}$	0.224	0.145
Linear $\times$ group, $\beta_{11}$	<b>-0.113*</b>	<b>0.051*</b>
Linear $\times$ synchrony, $\beta_{12}$	0.029	0.081
Linear $\times$ SES, $\beta_{13}$	-0.019	0.010
Quadratic growth, $\pi_2$		
Quadratic slope, $\beta_{20}$	-0.018	0.015
Quadratic $\times$ group, $\beta_{21}$	<b>0.010*</b>	<b>0.005*</b>
Quadratic $\times$ synchrony, $\beta_{22}$	-0.004	0.008
Quadratic $\times$ SES, $\beta_{23}$	0.002	0.001

\*  $p < .05$ .  
\*\*  $p < .01$ .  
\*\*\*  $p < .001$ .

quality of mother-child interactions throughout a joint puzzle task, we ran similar growth models for fluctuations in mother's and child's within-task RSA<sub>change</sub> scores (i.e., relative to baseline RSA) as a function of CM status and overall positive synchrony during the task (Table 3). Additionally, these models controlled for the given individual's baseline RSA and average task RSA at level-2.

For growth models of mother's within-task (i.e., dynamic) RSA<sub>change</sub>, there was a main effect of overall task RSA ( $\gamma_{04} = 1.06$ ,  $t(74) = 6.51$ ,  $p < .001$ ) and a main effect of baseline RSA ( $\gamma_{01} = -1.09$ ,  $t(74) = -6.97$ ,  $p < .001$ ), such that higher baseline values were associated with lower mother RSA<sub>change</sub> values. There were no effects of CM group status and mothers' dynamic RSA<sub>change</sub> scores (all  $ps > .83$ ). A main effect of task-average positive synchrony was observed ( $\gamma_{03} = -1.10$ ,  $t(74) = -2.77$ ,  $p = .007$ ), and this effect was qualified by significant interactions between synchrony  $\times$  time ( $\gamma_{13} = .46$ ,  $t(74) = 2.61$ ,  $p = .011$ ) and synchrony  $\times$  time<sup>2</sup> ( $\gamma_{23} = -.04$ ,  $t(74) = -2.26$ ,  $p = .027$ ). Interpretation of the linear effects indicates that mothers in dyads posting high levels of positive dyadic synchrony showed the lowest initial RSA<sub>change</sub> values (i.e., greater RSA withdrawal) at the task outset, followed by a trajectory of linear increase over time in mother RSA<sub>change</sub> values (i.e., to RSA augmentation). Interpretation of the quadratic effects, shown in Fig. 3, indicate that mothers from dyads high in positive dyadic synchrony showed the largest RSA withdrawal to task onset, followed by an abrupt RSA augmentation before returning to baseline levels at the

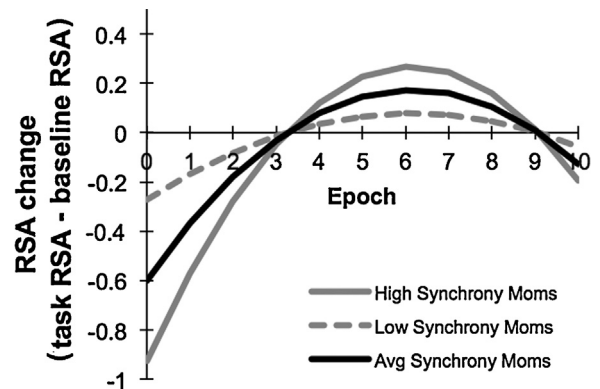


**Fig. 2.** The moderating impact of child maltreatment on growth models of dyadic synchrony during the joint puzzle task.

**Table 3**  
Multilevel model of linear and quadratic growth in RSA for mothers and children<sup>3</sup>.

	Mothers		Children	
	Coefficient	SE	Coefficient	SE
Intercept, $\pi_0$				
Intercept, $\beta_{00}$	0.972	0.742	-0.637	0.698
Group, $\beta_{01}$	-0.050	0.234	0.142	0.218
Average synchrony, $\beta_{02}$	<b>-1.101*</b>	<b>0.397*</b>	0.245	0.379
Task RSA average, $\beta_{03}$	<b>1.064***</b>	<b>0.164***</b>	<b>1.129***</b>	<b>0.141***</b>
Baseline RSA average, $\beta_{03}$	<b>-1.092</b>	<b>0.157***</b>	<b>-1.031</b>	<b>0.126**</b>
Linear growth, $\pi_1$				
Linear slope, $\beta_{10}$	-0.448	0.324	0.234	0.315
Linear $\times$ group, $\beta_{11}$	-0.001	0.068	-0.048	0.057
Linear $\times$ synchrony, $\beta_{12}$	<b>0.458*</b>	<b>0.175*</b>	-0.159	0.098
Linear $\times$ task RSA, $\beta_{13}$	0.014	0.072	-0.009	0.172
Linear $\times$ baseline RSA, $\beta_{13}$	0.013	0.068	-0.020	0.065
Quadratic growth, $\pi_2$				
Quadratic slope, $\beta_{20}$	-0.018	0.015	-0.016	0.029
Quadratic $\times$ group, $\beta_{21}$	0.010	0.005	0.003	0.009
Quadratic $\times$ synchrony, $\beta_{22}$	<b>-0.036*</b>	<b>0.016*</b>	0.017	0.016
Quadratic $\times$ task RSA, $\beta_{23}$	-0.004	0.007	-0.002	0.006
Quadratic $\times$ baseline RSA, $\beta_{23}$	0.001	0.006	0.004	0.005

\*  $p < .05$ .  
\*\*  $p < .01$ .  
\*\*\*  $p < .001$ .



**Fig. 3.** Growth models of mother's respiratory sinus arrhythmia (RSA) reactivity as a function of task-average dyadic synchrony.

task's end. In contrast, the RSA growth trajectories of mothers in dyads posting low levels of positive synchrony showed the least reactivity over the course of the task.

Growth models of children's RSA reactivity during the joint task did not show predictable linear or quadratic changes over time ( $ps > .45$ ). After accounting for overall task RSA ( $\gamma_{04} = 1.06$ ,  $t(74) = 6.51$ ,  $p < .001$ ) and baseline RSA ( $\gamma_{01} = -1.09$ ,  $t(74) = -6.97$ ,  $p < .001$ ), there were no effects of task-average positive synchrony (all  $ps > .28$ ) or CM group status (all  $ps > .51$ ) on children's within-task RSA<sub>change</sub> scores.

### 3. Discussion

The present study aimed to clarify relations between positive dyadic interactions and dynamic RSA measures in mothers and their preschool children. We examined profiles of variation in positive dyadic synchrony and mother and child RSA over the course of a laboratory task designed to give caregivers an opportunity to assist their child in completing a challenging objective. We were primarily interested in how the proportion of positive synchrony displayed by a dyad would relate to dynamic changes in RSA for mothers and children over time during the joint task.

Growth models of RSA reported here indicate that mothers who displayed greater positive interactive coordination with their

preschool child at the micro-level of social behavior also showed greater autonomic reactivity over the course of their dyadic interaction. These findings are consistent with biological models that posit high levels of variability in physiological systems like the PNS reflect healthy processes, whereas low variation tends to characterize more pathological states (Friedman, 2007; Thayer & Lane, 2000), and suggests that mothers who achieved high levels of positive synchrony with their child during the joint task may have withdrawn RSA at the task onset (relative to their task average) to orient and focus on the task, and then augmented RSA levels through the remainder of the joint task to support positive social engagement and joint problem-solving with their child (e.g., Porges, 2001). In contrast, mothers who achieved low positive synchrony with their child displayed blunted RSA reactivity over the course of the task. The relationships reported here between positive dyadic synchrony and dynamic changes in mother's RSA in the act of parenting apply across the spectrum of risk in the sample.

Previous findings on RSA reactivity have been mixed with regard to what constitutes a healthy response in the context of parenting. Some have suggested that RSA withdrawal is optimal, as a decrease in parasympathetic tone facilitates elevated heart rate and greater attentional focus and engagement with stimuli (Beauchaine, 2001), and RSA decreases have been observed in mothers who are rated as more sensitive (Moore et al., 2009) and less intrusive (Mills-Koonce et al., 2009) with their distressed infants. In contrast, others have documented that RSA augmentation (from baseline) is concordant with efforts to regulate emotional expressiveness during social interactions (Butler et al., 2006; Smith et al., 2012). Our findings highlight the utility of examining dynamic changes in RSA in the context of parenting, and appear consistent with both sets of findings. Specifically, mothers who achieved greater positive synchrony with their preschooler showed larger RSA withdrawals at the onset of the task, presumably reflecting greater engagement and focus on the task objectives, followed by greater increases in RSA over the remainder of the task, suggesting a higher degree of social-emotional regulation while engaged with their child to complete the puzzle together. These results are also consistent with Graziano and Derefinko's (2013) recent meta-analysis concluding that decreases in vagal tone are more optimal during stressful interactions that call for greater effort to engage within a difficult context, while increased vagal tone may be more optimal in facilitating calm, social connections that call for regulation of emotional expression (e.g., Porges, 2001).

In another finding, observational coding revealed larger decreases in interactive synchrony among CM dyads over the course of the puzzle task than in non-CM dyads; however, CM status did not moderate the relationship between dyadic synchrony and RSA growth trajectories. One interpretation of this finding could be that the quality of mother-child dyadic coordination and difficulties associated with CM status are not uniquely related to autonomic physiology, but are due to other sources of variance, such as individual differences in child characteristics and maternal attributions about child intentions, comorbid psychopathology, life stressors, and parent representations of their own early experiences with caregivers (e.g., Bugental, 2009). It should be noted that CM and non-CM mother-child dyads were recruited from demographically comparable populations of relatively low SES and elevated risk status, and future studies should examine the patterns of dyadic synchrony in families with greater economic and social resources, to ascertain whether associations between physiology and interactive behavior observed here would generalize.

It is important to note that the RSA values reported here were not controlled for respiration values. Changes in respiration may confound the interpretation of RSA values as an indicator of vagal tone, instead reflecting movement-related activity which can heavily impact both respiration and RSA (for a review, see Grossman &

Taylor, 2007). However, others have concluded that the confound of respiration only applies for relatively extreme values such as during exercise (Houtveen, Rietveld, & de Geus, 2002), and that respiration frequency has little impact on RSA amplitude in seated participants (Denver, Reed, & Porges, 2007). Given that the dyads in the present study were seated at all times in a relatively quiet laboratory environment, it does not seem likely that the RSA fluctuations reported here were primarily driven by changes in respiratory parameters, although this is a caveat that should be addressed in future studies. Another notable limitation of the current study is that claims about causality cannot be drawn about the relationship between the extent of dyadic synchrony and variations over time in RSA during the joint puzzle task. Additionally, we did not collect information on factors that could influence RSA, such as smoking status, caffeine or alcohol use, or BMI; thus, effects of group membership reported here between CM and non-CM mothers could reflect more general health differences. Nonetheless, our findings implicate blunted RSA reactivity in mothers as a risk factor for achieving low positive synchrony with their child. Given evidence that self-report from mothers and children may not be a reliable indicator of the quality of mother-child relationships (Skowron & Reinemann, 2005), identifying basic biometric measures of potential risk for maltreatment is an important step for the development of interventions aiming to identify malleable factors that can support greater positive coordination between at-risk parents and children in the early years. Ongoing studies in our lab are examining the role of more proximal effects between dyadic coordination, rupture, and repair processes, and patterns of RSA responding that may prove helpful in elucidating the neurobehavioral mechanisms underlying parenting in early childhood in order to optimize and tailor family interventions for at-risk populations. One potential direct implication of this work would be for interventions to analyze RSA in parents while interacting with their children and determine whether coaching methods designed to increase parasympathetic reactivity would improve the proportion of positive exchanges that parent would have with their child. Additionally, identifying parents who show a blunted parasympathetic response while interacting with their children could better inform interventionists about individuals who might need more training with emotion recognition and regulation strategies in order to support positive parenting practices.

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