

**RICE**

**Mid-infrared laser based trace gas sensor technologies: recent advances and applications- I**

**OUTLINE**

Frank K. Tittel

Dept. of Electrical & Computer Engineering, Rice University, Houston, TX 77005

<http://www.ece.rice.edu/~lasersci/>

GE Global Research  
Houston, TX  
March 7, 2015

**Outline**

- Introduction
- CH<sub>4</sub> absorption line selection and ICL characterization
- Sensor system configuration
- Performance optimization and assessment
- Laboratory stationary measurements
- Field tests at a commercial natural gas storage facility
- Summary

**RICE**

**RICE**

**Compact Methane Sensor System based on a novel Multipass Gas Cell and a 3.3 μm CW, TEC, DFB Interband Cascade Laser**

**OUTLINE**

Lei Dong,<sup>1,2</sup> Chunguang Li,<sup>1</sup> Nancy P. Sanchez,<sup>3</sup> Aleksander K. Gluszek,<sup>1</sup> Arkadiusz J. Hudzikowski,<sup>1</sup> Robert J. Griffin,<sup>3</sup> Frank K. Tittel<sup>1</sup>

<sup>1</sup>Dept. of Electrical & Computer Engineering, Rice University, Houston, TX 77005;  
<sup>2</sup>State Key Laboratory of Quantum Optics and Quantum Optics Devices, Institute of Laser Spectroscopy, Shanxi University, Taiyuan 030006, China.  
<sup>3</sup>Dept. of Civil & Environmental Engineering, Rice University, Houston, TX 77005

<http://www.ece.rice.edu/~lasersci/>

Photonics West 2016  
San Francisco, CA  
Feb. 15-18, 2016

**RICE**

**Mid-infrared interband and quantum cascade laser based trace gas sensor technologies: recent advances and applications**

F. K. Tittel<sup>1</sup>, L. Dong<sup>1</sup>, C. Li<sup>1</sup>, Y. Yu<sup>1</sup>, P. Patimisco<sup>2</sup>, A. Sampaolo<sup>2</sup>, V. Spagnolo<sup>2</sup>, T. Starecki<sup>3</sup> & A. Geras<sup>3</sup>

<sup>1</sup>Dept. of Electrical & Computer Engineering, Rice University, Houston, TX 77005;  
<sup>2</sup>Dipartimento Interateneo di Fisica, Università e Politecnico di Bari, Via Amendola 173, Bari, Italy;  
<sup>3</sup>Faculty of Electronics Information Technology, Warsaw University of Technology, 00-665, Warsaw, Poland.

<http://www.ece.rice.edu/~lasersci/>

- Novel Laser-Based Trace Gas Sensor Technology  
Mid-IR TDLAS based on a Novel Multipass Gas Cell Design  
Quartz Enhanced Photoacoustic Spectroscopy (QEPAS)
- Examples of four Mid-infrared Trace Gas Species  
CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, H<sub>2</sub>CO and H<sub>2</sub>S
- Future Directions of QEPAS-Based Trace Gas Sensor Technologies and Conclusions
  - I (Intra-cavity) – QEPAS
  - New custom QTFs

July 12-17, 2015

**RICE**  
University of Technology  
Austria

Research support by NSF ERC-MRITRE, NSF-ANR NoCCLAS, the Robert Welch Foundation, and Semtech Photonics Inc. via an EPA Phase 2 SDBR & sub-award ARPA-E is acknowledged.

**Wide Range of Trace Gas Sensing Applications**

- **Urban and Industrial Emission Measurements**
  - Industrial Plants
  - Combustion Sources and Processes (e.g. fire detection)
  - Automobile, Truck, Aircraft and Marine Emissions
- **Rural Emission Measurements**
  - Agriculture & Forestry, Livestock
- **Environmental Monitoring**
  - Atmospheric Chemistry (e.g. isotopologues, climate modeling,...)
  - Volcanic Emissions
- **Chemical Analysis and Industrial Process Control**
  - Petrochemical, Semiconductor, Pharmaceutical, Metals Processing, Food & Beverage Industries, Nuclear Technology & Safeguards
- **Spacecraft and Planetary Surface Monitoring**
  - Crew Health Maintenance & Life Support
- **Applications in Medical Diagnostics and the Life Sciences**
- **Technologies for Law Enforcement, Defense and Security**
- **Fundamental Science and Photochemistry**

**RICE**



## Laser-Based Trace Gas Sensing Techniques

- **Optimum Molecular Absorbing Transition**
  - Overtone or Combination Bands (NIR)
  - **Fundamental Absorption Bands (Mid-IR)**
- **Long Optical Pathlength**
  - **Multipass Absorption Gas Cell** (e.g., White, Herriot, Chernin, Aeris Technologies, and Circular Cylindrical Multipass Cell)
  - **Cavity Enhanced** and Cavity Ringdown Spectroscopy
  - Open Path Monitoring (with retro-reflector or back scattering from topographic target): Standoff and Remote Detection
  - **Fiberoptic & Wave-guide Evanescent Wave Spectroscopy**
- **Spectroscopic Detection Schemes**
  - Frequency or Wavelength Modulation
  - Balanced Detection
  - Zero-air Subtraction
  - **Photoacoustic & Quartz Enhanced Photoacoustic Spectroscopy (QEPAS)**



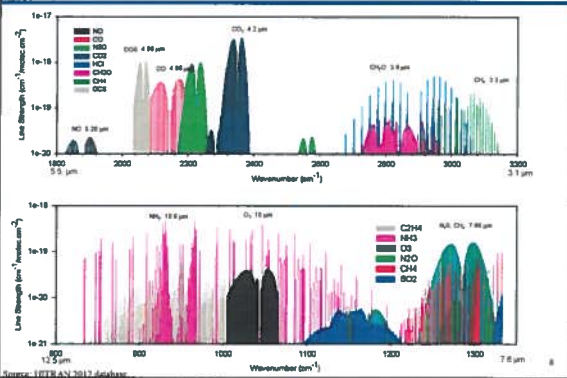
7

## Other Spectroscopic Methods

- Faraday Rotation Spectroscopy (limited to paramagnetic chemical species)
- Differential Optical Dispersion Spectroscopy (DODiS)
- Noise Immune Cavity Enhanced-Optical Heterodyne Molecular Spectroscopy (NICE-OHMS)
- Frequency Comb Spectroscopy
- Laser Induced Breakdown Spectroscopy (LIBS)



## HITRAN Simulated Mid-Infrared Molecular Absorption Spectra



Source: HITRAN 2012 database

## Mid-IR Source Requirements for Laser Spectroscopy

REQUIREMENTS	IR LASER SOURCE
Sensitivity (% to pptv)	Optimum Wavelength and Power
Selectivity (Spectral Resolution) or Specificity	Stable Single Mode Operation and Narrow Linewidth
Multi-gas Components, Multiple Absorption Lines, and Broadband Absorbers	Mode Hop-Free Wavelength Tunability
Directionality or Cavity Mode Matching	Beam Quality
Rapid Data Acquisition	Fast Time Response Time
Room Temperature Operation	High Wall Plug Efficiency, No Cryogenics or Cooling Water
Field Deployable in Harsh Environments	Compact and Robust

10

## Key Characteristics of Mid-IR QCL & ICL Sources – July 2015

- **Band – structure engineered devices**  
Emission wavelength is determined by layer thickness – MBE or MOCVD; QCLs operate in the 3 to 24  $\mu\text{m}$  spectral region and ICLs can cover the 3 to 6  $\mu\text{m}$  spectral range.
  - Compact, reliable, stable, long lived, and commercially available
  - Fabry-Perot (FP), single mode (DFB) and multi-wavelength devices
- **Wide spectral tuning ranges in the mid-IR**
  - 1.5  $\text{cm}^{-1}$  using injection current control for DFB devices
  - 10-20  $\text{cm}^{-1}$  using temperature control for DFB devices
  - ~100  $\text{cm}^{-1}$  using current and temperature control for QCLs DFB Array
  - ~525  $\text{cm}^{-1}$  (22% of c.w.) using an external grating element and FP chips with heterogeneous cascade active region design, also QCL DFB array & Optical Frequency Combs (OFCs) > 100 to <450  $\text{cm}^{-1}$  with kHz to sub-kHz resolution and a comb spacing of > 10 GHz
- **Narrow spectral linewidths**
  - CW 0.1 - 3 MHz & <10kHz with frequency stabilization
  - Pulsed ~ 300 MHz
- **High pulsed and CW powers of QCLs at TEC/RT temperatures**
  - Room temperature pulsed peak power of ~203 W with 10% wall plug efficiency
  - CW powers of ~ 5 W with 23% wall plug efficiency at 293 K
  - > 600 mW CW DFB at TEC/RT, wall plug efficiency 23% at 4.6  $\mu\text{m}$

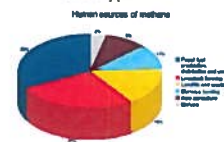
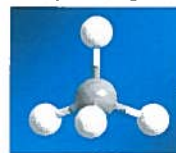


11

## Introduction

Methane is one of the major atmospheric greenhouse gases contributing to global warming and climate change.

- Global warming potential (GWP) of 25 compared to GWP of 1 for  $\text{CO}_2$  for a 100-year period)
- Short lifetime in the atmosphere (~12 yrs) compared to  $\text{CO}_2$  and  $\text{N}_2\text{O}$
- Atmospheric background concentration: ~1.8 ppm



12

### Typical Oil & Gas Production Site near Houston, TX

The result of a sequence of four fracking injections obtained by directional drilling which creates horizontal production in the target stratum is depicted in a figure published in *Physics Today* 2016.

A DOE-ARPA-E funded methane detection project at 3.33  $\mu\text{m}$  was started in 2015 at various Texas located wellpad sites (typically, 10-30 m x 10 m with a 1 m spatial resolution.) was started in 2015

### CH<sub>4</sub> and N<sub>2</sub>O Measurements performed with a DFB-QCL based QEPAS Sensor installed in the Aerodyne Mobile Laboratory (Sept 7, 2013)

**N<sub>2</sub>O mixing ratios**

**CH<sub>4</sub> mixing ratios**

**Spotlight on QEPAS**  
 Atmospheric CH<sub>4</sub> and N<sub>2</sub>O measurements near Greater Houston area landfills using a QCL-based QEPAS sensor system during DISCOVER-AQ 2013  
 Published in *Opt. Express*, Vol. 21, Issue 19, pp.17700-17710 (2013)  
 © Mohamed Abdel-Wahab, Bing Han, P. Sander, Nathan Bruce Thomson, Vishwanath Venkatesh, Michael J. Daniels, David L. Albritton

### Comparison of proposed Rice CH<sub>4</sub> Sensor System and current commercially available CH<sub>4</sub> Sensor Platforms

	Rice	Picarro	ABB-LGR I	ABB-LGR II	Aerodyne
Opt. Path length and method	MIR TDLAS: ~9 m	NIR CRDS: >2000m	NIR OA-ICOS: >1000m	NIR OA-ICOS: >2000m	MIR TDLAS, 70-100 m
Sensitivity/sec	< 5-10 ppb	1-2 ppb	5 ppb	2 ppb	<1 ppb
Accuracy (drift)	2 ppb stabilized	2 ppb	20 ppb, temp. stabilized	2 ppb	2 ppb
Cell Volume, cc	60	30	500	2000	2000
Pump Size (10 sec flush time)	~ 1 lpm	~ 0.5 lpm	~ 11 lpm	~ 45 lpm	~ 45 lpm
Cavity Mirror Reflectance	98.5%-99%	>99.99%	>99.99%	>99.99%	>99.99%
Power Consumption	2-20 W	200 W	70 W	200 W	400 W
Weight	~ 2-4 kg	~ 20 kg	~ 15 kg	~ 40 kg	~ 40 kg
Cost	~ 20-25K USD	~ 40-50K USD	~ 25K USD	~ 40K USD	~ 100K USD

1/3 Department of Energy Advanced Research Project Agency - Energy (ARPA-E), Methane Observation Networks with Innovative Technology to obtain Reductions (MONITOR)

### Spectroscopy Fundamentals

**Beer's Law:**  $\frac{I_t}{I_0} = \exp(-k_a L)$

where:  $I_t$  is transmitted light intensity  
 $I_0$  is incident light intensity  
 $k_a$  is absorption coefficient  
 $L$  is optical path

**Multipass Gas Cell:**  
 The minimum detection limit can be improved by increasing the **effective optical path** without increasing the **physical length**.

3D Rice LSG simulation of a multipass cell  
 Based on RLSG custom software  
 (L=100mm R=100mm D=15mm)

### CH<sub>4</sub> Absorption Line Selection

- > The fundamental  $\nu_1$  and  $\nu_3$  CH<sub>4</sub> bands are located at **7.7  $\mu\text{m}$**  and **3.3  $\mu\text{m}$** , respectively
- > A high detection sensitivity for methane measurements using quantum cascade lasers (QCLs) at **7.7  $\mu\text{m}$**  was previously reported
- > Compact, TEC, CW, DFB ICLs emitting at **3-4  $\mu\text{m}$**  wavelengths became recently commercially available
- > An interference-free CH<sub>4</sub> absorption line located at **3038.5  $\text{cm}^{-1}$**  was selected as the optimum target absorption line
- > The **3  $\mu\text{m}$**  CH<sub>4</sub> absorption line can be used at atmospheric pressure

### ICL Characterization & Performance Evaluation

#### Nanoplus ICL, 3.291 $\mu\text{m}$ center-wavelength

(a) ICL output power response curves; (b) Emission wavenumber curves.

Performance evaluation for a 3.291- $\mu\text{m}$  CW RT ICL at different operating temperatures and injection currents. (a) ICL output power response curves; (b) Emission wavenumber curves.  
 Current turning rate: **-0.232308  $\text{cm}^{-1}/\text{mA}$** ; Temperature turning rate: **-0.23994  $\text{cm}^{-1}/^\circ\text{C}$**

SB



### Schematic of Trace Gas Sensor System

- **Laser source (Nanoplus)**
  - Current: 42 mA
  - Temperature: 30 °C
  - Power: 1.5 mW
- **Multipass gas cell (Sentinel Photonics/Aeris Technologies, Inc)**
  - 54.6 meter, 435-passes, sealed
  - Sampling volume: 220 mL
  - Dimensions: 16.9 x 6.6 x 5.3 cm<sup>3</sup>
- **Sensor system platform**
  - Two-floor design with folded optical path
  - Low power consumption: 6 W
  - Dimensions: 32 x 20 x 17 cm<sup>3</sup>

### Electronics Controller for Sensor System

- **Control unit**
  - Laptop+NI DAQ+OEM laser driver
  - Direct absorption spectroscopy
  - DAQ acquiring data & scanning the ICL wavelength
- **OEM laser driver for ICL**
  - Neo Monitors, Oslo, Norway
  - Size: 10 x 8 cm<sup>2</sup>
  - Low noise characteristic:  $\leq 1$  nA/Hz
  - On-board TEC driver:  $\pm 3$  A, 15 V
  - Single voltage power supply 12-24V

### Data Processing for CH<sub>4</sub> Detection

- **A 4-step algorithm for CH<sub>4</sub> detection**
  - 150 spectra were averaged
  - Baseline of the spectral scan was fitted and eliminated
  - Linearized using fringe spacing of a germanium etalon
  - Lorentzian line shape fitting to retrieve concentration information
- **Interference-free absorption line of CH<sub>4</sub> at 3038.5 cm<sup>-1</sup> obtained from laboratory air at atmospheric pressure together with a fitted baseline and a transmission signal from a germanium etalon.**

### Allan-Werle Deviation Analysis

Allan-Werle deviation plot was acquired in a time period of ~1.5 hours using a certified 2 ppm CH<sub>4</sub> cylinder with a 1 Hz sampling rate

- 1-s measurement precision is  $\sigma=10.53$  ppb
- 60-s measurement precision is  $\sigma=1.43$  ppb

### Stationary Laboratory Measurements

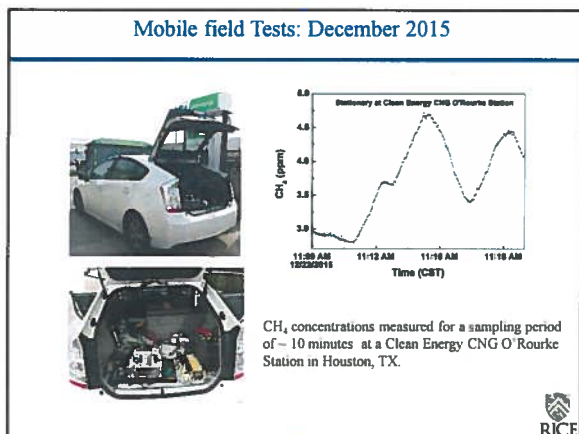
CH<sub>4</sub> concentrations measured over a 7-day period in ambient air on the Rice University campus during spring 2015.

### Laboratory Stationary Measurements

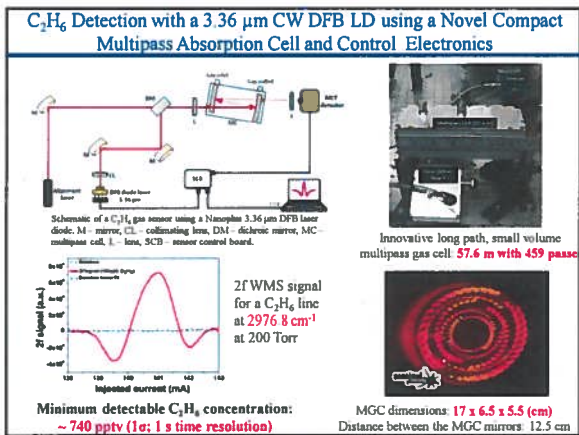
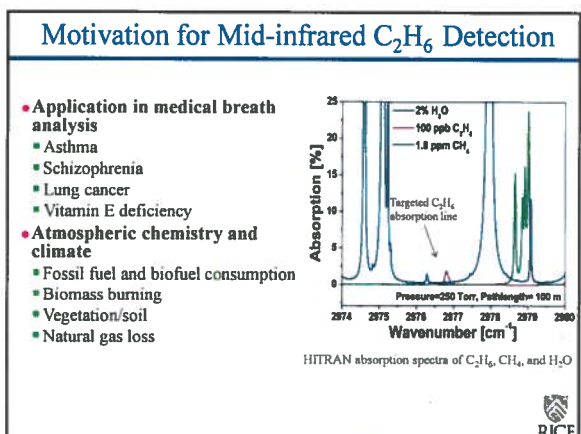
Diurnal variations of CH<sub>4</sub> mixing ratio. Bottom whisker, bottom box line, top box line and top whisker indicate the 5th, 25th, 75th and 95th percentile, respectively. Line inside the boxes and continuous solid line represent the hourly median and mean of the data respectively.

The diurnal profile of the methane concentration shows an increase in concentration during the early morning with a subsequent gradual decrease after ~8:00 CDT to its typical background level of ~1.87 ppm in the Greater Houston area.

24



25



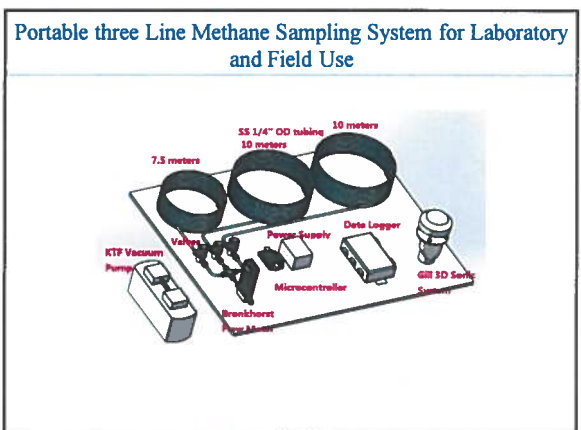
### Summary and Conclusions

- A 3.291 μm CW room-temperature ICL based absorption sensor was developed for methane detection using a 54.6 m optical path length multipass gas cell.
- A two-floor mechanical design with a folded optical path resulted in a sensor system dimension of 32 x 20 x 17 cm<sup>3</sup>
- Good electrical power management resulted in a low power consumption of the CH<sub>4</sub> sensor system: 6 W.
- A MDL of 10.5 ppb for CH<sub>4</sub> with a 1 sec integration time was achieved.
- Laboratory measurements and mobile-mode operation tests were conducted and results demonstrate the suitability of the sensor system to generate spatial distributions of CH<sub>4</sub> in urban areas and oil and gas production sites.

28

### Summary, Conclusions and Future Developments

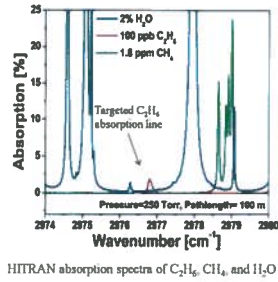
- Development of robust, compact, sensitive, selective mid-IR trace gas sensor technology based on RT, CW high performance DFB ICLs & QCLs for detection of explosives and TICs as well as environmental monitoring and medical diagnostics
- Interband cascade and quantum cascade lasers were used in QEPAS and TDLAS based sensor platforms
- Performance evaluation of seven target trace gas species were reported. The minimum detection limit (MDL) with a 1 sec sampling time were:
  - C<sub>2</sub>H<sub>6</sub>: MDL of .24 ppbv at -3.36 μm; CH<sub>4</sub>: MDL of 13 ppbv at -7.28 μm; N<sub>2</sub>O: MDL of 6 ppbv at -7.28 μm
- I-QEPAS demonstration with a power enhancement factor of 240 providing a corresponding increase in detection sensitivity
  - CO<sub>2</sub> for the P(42) absorption line located at -4.33 μm (2311.105 cm<sup>-1</sup>), a MDL of 300 pptv at 50mbar was achieved for a 20 sec integration time.
- Development of "active" I-QEPAS system for CO and NO detection in the few ppt range
- Development of Trace Gas Sensors for the monitoring of broadband absorbers: acetone (C<sub>3</sub>H<sub>6</sub>O), propane (C<sub>3</sub>H<sub>8</sub>), benzene (C<sub>6</sub>H<sub>6</sub>)
- Development of Mid-IR Electrically pumped Interband Cascade Optical Frequency Combs (OFCs) with JPL, Pasadena, CA, NRL, Washington, and the U. of Bari (Italy)



36

## Motivation for Mid-infrared C<sub>2</sub>H<sub>6</sub> Detection

- Application in medical breath analysis
  - Asthma
  - Schizophrenia
  - Lung cancer
  - Vitamin E deficiency
- Atmospheric chemistry and climate
  - Fossil fuel and biofuel consumption
  - Biomass burning
  - Vegetation/soil
  - Natural gas loss

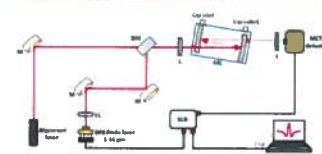


HITRAN absorption spectra of C<sub>2</sub>H<sub>6</sub>, CH<sub>4</sub>, and H<sub>2</sub>O

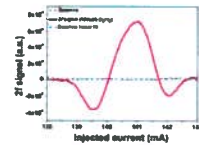
RICE

31

## C<sub>2</sub>H<sub>6</sub> Detection with a 3.36 μm CW DFB LD using a Novel Compact Multipass Absorption Cell and Control Electronics



Schematic of a C<sub>2</sub>H<sub>6</sub> gas sensor using a Nanoplus 3.36 μm DFB laser diode, M: mirror, CL: collimating lens, DM: dichroic mirror, MPC: multipass cell, L: lens, SCB: sensor control board.



2f WMS signal for a C<sub>2</sub>H<sub>6</sub> line at 2976.8 cm<sup>-1</sup> at 200 Torr

Minimum detectable C<sub>2</sub>H<sub>6</sub> concentration: -740 ppbv (1σ; 1 s time resolution)



Innovative long path, small volume multipass gas cell: 57.6 m with 459 passes



MGC dimensions: 17 x 6.5 x 5.5 (cm)  
Distance between the MGC mirrors: 12.5 cm

## Hydrogen Peroxide (H<sub>2</sub>O<sub>2</sub>)

- Strong oxidant species in the atmosphere
- Associated with the formation of acid rain and atmospheric aerosols
- Employed in the synthesis of multiple chemical products & as bleaching agent in the pulp and paper industry
- Used for decontamination and sterilization of medical and pharmaceutical facilities
- Biomarker of lung and respiratory system diseases in exhaled breath



33

## Vapor-Phase Hydrogen Peroxide (VPHP)

- VPHP is used for:
  - Decontamination of health-care and pharmaceutical facilities
  - Sterilization of medical equipment and packing materials in the food industry
- VPHP units: gas-phase H<sub>2</sub>O<sub>2</sub> generated from concentrated liquid H<sub>2</sub>O<sub>2</sub> solutions
- H<sub>2</sub>O<sub>2</sub> concentrations between 200-1200 ppm are produced in the gas-phase and maintained for ~10 min
- After decontamination procedures, ambient H<sub>2</sub>O<sub>2</sub> concentrations need to be monitored



Source: Biogal UK Ltd

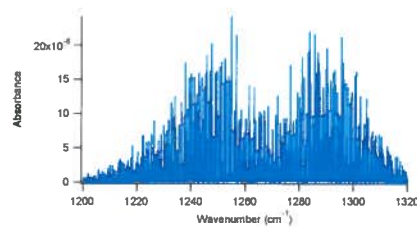
34

## Techniques for Detection of H<sub>2</sub>O<sub>2</sub>

- Wet-chemistry methods based on fluorescence spectroscopy, colorimetric analysis and chemiluminescence
  - Transfer from the gas to a liquid phase required for subsequent analysis
  - Interference from other species and formation of sampling artifacts
- Mid-IR laser-based spectroscopy
  - Direct detection in the gas-phase
  - Real-time detection
  - High sensitivity and specificity

35

## H<sub>2</sub>O<sub>2</sub> Absorption in the Mid-IR Region



Fundamental v<sub>6</sub> H<sub>2</sub>O<sub>2</sub> band located at ~7.5-8.3 μm

36

36