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## Mid-Infrared Semiconductor based Trace Gas Sensor Technologies: Recent Advances and Applications

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**OUTLINE**

Mirsens2 Intl. Workshop

WUT, Wrocław, Poland

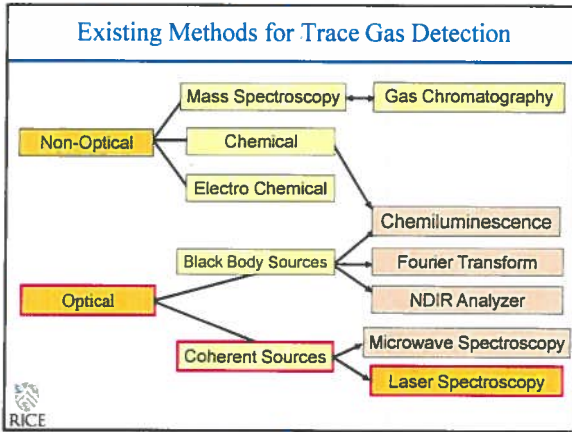
Oct 18-20, 2013

- New Laser Based Sensor Technology
  - Innovative, Compact Multipass Absorption Cell
  - Quartz Enhanced Photoacoustic Spectroscopy
- Examples of Mid-Infrared Sensor Architectures
  - C<sub>2</sub>H<sub>6</sub>, NH<sub>3</sub>, NO, CO, and SO<sub>2</sub>
  - Future Directions of Laser Based Gas Sensor Technology and Conclusions

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## Wide Range of Trace Gas Sensing Applications

- **Urban and Industrial Emission Measurements**
  - Industrial Plants
  - Combustion Sources and Processes (e.g. fire detection)
  - Automobile, Truck, Aircraft and Marine Emissions
- **Rural Emission Measurements**
  - Agriculture & Forestry, Livestock
- **Environmental Monitoring**
  - Atmospheric Chemistry
  - Volcanic Emissions
- **Chemical Analysis and Industrial Process Control**
  - Petrochemical, Semiconductor, Nuclear Safeguards, Pharmaceutical, Metals Processing, Food & Beverage Industries
- **Spacecraft and Planetary Surface Monitoring**
  - Crew Health Maintenance & Life Support
- **Applications in Biomedical and the Life Sciences**
- **Technologies for Law Enforcement and National Security**
- **Fundamental Science and Photochemistry**

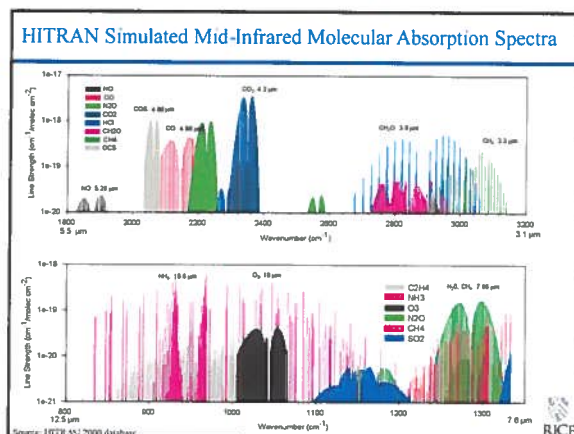


### Sensitivity Enhancement Techniques for Laser Spectroscopy

- **Optimum Molecular Absorbing Transition**
  - Overtone or Combination Bands (NIR)
  - Fundamental Absorption Bands (MID-IR)
- **Long Optical Pathlength**
  - Multipass Absorption Cell (White, Herriot)
  - Cavity Enhanced and Cavity Ringdown Spectroscopy
  - Open Path Monitoring (with retro-reflector): Standoff and Remote Detection
  - Fiberoptic Evanescent Wave Spectroscopy
- **Spectroscopic Detection Schemes**
  - Frequency or Wavelength Modulation
  - Balanced Detection
  - Zero-air Subtraction
  - Photoacoustic Spectroscopy

### Other spectroscopic methods

- Faraday rotation spectroscopy (limited to some paramagnetic species)
- Noise Immune Cavity Enhanced-Optical Heterodyne Molecular Spectroscopy (NICE-OHMS)
- Frequency Comb Spectroscopy from Mid-IR to VUV
- Laser Induced Breakdown Spectroscopy (LIBS)

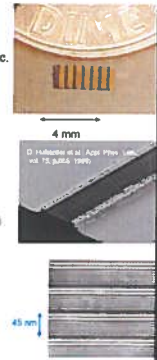


## Mid-IR Source Requirements for Laser Spectroscopy

REQUIREMENTS	IR LASER SOURCE
Sensitivity (% to ppt)	Optimum Wavelength, Power
Selectivity (Spectral Resolution)	Stable Single Mode Operation and Narrow Linewidth
Multi-gas Components, Multiple Absorption Lines and Broadband Absorbers	Mode Hop-free Wavelength Tunability
Directionality or Cavity Mode Matching	Beam Quality
Rapid Data Acquisition	Fast Time Response
Room Temperature Operation	High wall plug efficiency, no cryogenics or cooling water
Field deployable in harsh environments	Compact & Robust

## Key Characteristics of Mid-IR QCL& ICL Sources - Sept. 2011

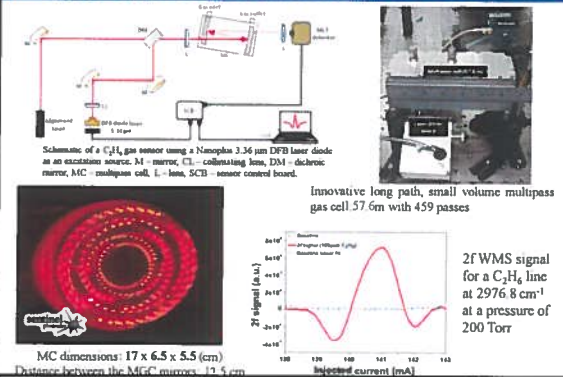
- Band-structure engineered devices**  
Emission wavelength is determined by layer thickness – MBE or MOCVD; Type I QCLs operate in the 3 to 24  $\mu\text{m}$  spectral region; Type II and GaSb based ICLs can cover the 3 to 4  $\mu\text{m}$  spectral range.
  - Compact, reliable, stable, long lifetime, and commercial availability
  - Fabry-Perot (FP), single mode (DFB) and multi-wavelength devices
- Wide spectral tuning ranges in the mid-IR**
  - 1.5  $\text{cm}^{-1}$  using injection current control for DFB devices
  - 10-20  $\text{cm}^{-1}$  using temperature control for DFB devices
  - > 430  $\text{cm}^{-1}$  using an external grating element and FP chips with heterogeneous cascade active region design, also QCL DFB Array
- Narrow spectral linewidths**
  - CW: 0.1 - 3 MHz & <10kHz with frequency stabilization ( $0.0004 \text{ cm}^{-1}$ )
  - Pulsed: ~300 MHz
- High pulsed and cw powers of OCLs at TEC/RT temperatures**
  - Room temperature pulsed and CW powers of > 30 W and 3 W respectively
  - >280 mW TEC CW DFB @ 5  $\mu\text{m}$
  - >600 mW (CW FP) @ RT, wall plug efficiency of ~17% at 4.6  $\mu\text{m}$ ,



## Quantum Cascade, Interband Cascade and GaSb Laser Commercial and Research Activity in Sept. 2011

- Commercial Sources**
  - Adtech, CA
  - Alpea Lasers, Switzerland & Germany
  - Alcatel-Thales, France
  - Cascade Technologies, UK
  - Corning, NY
  - Hamamatsu, Japan
  - Maxion Technologies, Inc MD (Physical Sciences, Inc)
  - Nanoplus, Germany, Siemens, Goeteborg, Sweden, and INP, Greifswald, Germany
  - Pranalytica, CA
- Research Groups**
  - Harvard University
  - Fraunhofer-IAF & IPM, Freiburg, and Humboldt University, Berlin, Germany
  - Institute of Electron Technology, Warsaw, Poland
  - NASA-JPL, Pasadena, CA
  - Naval Research Laboratories, Washington, DC
  - Northwestern University, Evanston, IL
  - Princeton University (MIRTHE), NJ
  - Shanghai Institute of Microsystem and Information Technology, China
  - Sheffield University, QinetiQ, Malvern and Lancaster, University, UK
  - State University of New York
  - Technical University, Zuerich, Switzerland
  - University of Montpellier, France
  - Technical University, Vienna, Austria and NRC, Ottawa, Canada

## C<sub>2</sub>H<sub>6</sub> Detection with a 3.36 $\mu\text{m}$ DFB LD using a Novel Compact Multipass Absorption Cell and Control Electronics

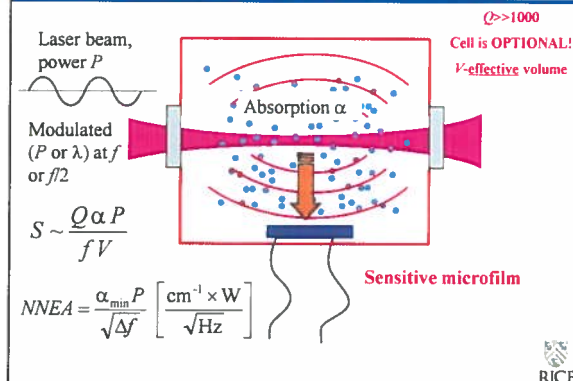


## Motivation for NH<sub>3</sub> Detection

- Monitoring of gas separation processes
- Detection of ammonium-nitrate explosives
- Spacecraft related gas monitoring
- Monitoring NH<sub>3</sub> concentrations in the exhaust stream of NO<sub>x</sub> removal systems based on selective catalytic reduction (SCR) techniques
- Semiconductor process monitoring & control
- Monitoring of industrial refrigeration facilities
- Pollutant gas monitoring
- Atmospheric chemistry
- Medical diagnostics (kidney & liver diseases)

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## Conventional PAS



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### Sensor Deployment on top of Moody Tower (University of Houston)

Moody Tower sampling site University of Houston, TX.

View of Houston downtown from Moody Tower roof

Ammonia sensor installed on the Moody Tower rooftop monitoring site

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### NH<sub>3</sub> Detection due to a Fire resulting from a Truck Collision

Accidental release of NH<sub>3</sub>  
August 14, 2010

Downwind of the Houston Ship Channel

A chemical incident occurred at ~ 6 a.m. after two 18-wheelers headed southbound side-by-side collided. Both trucks caught fire. [www.chron.com]

Estimated hourly NH<sub>3</sub> emission from the Houston Ship Channel area is about 0.25 ton. Mellqvist et al., (2007) Final Report, HARC Project H-53

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### Sporadic increased NH<sub>3</sub> concentration levels related to emissions by the Parish electric power plant, TX

Hour of day August 5, 2010

Hour of day August 5, 2010

The Parish electric power plant, is located near the Brazos River in Fort Bend County, Texas (~27 miles SW of downtown Houston)

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### NH<sub>3</sub> Sensor Deployment in Fort Worth (2011)

Sampling sites during the 2011 Texas Commission on Environmental Quality (TCEQ) Campaign

### Atmospheric NH<sub>3</sub> Measurements using an EC-QCL PAS Sensor

Schematic of a Daylight Solutions CW 10.36 μm TEC-QCL based PAS NH<sub>3</sub> Sensor

Diurnal profile of atmospheric NH<sub>3</sub> levels in Houston, TX

Comparison between NH<sub>3</sub> and particle number concentration time series from July 19, 2012 to July 31, 2012

### From Conventional PAS to QEPAS

Laser beam, power  $P$

Modulated ( $P$  or  $\lambda$ ) at  $f$  or  $f/2$

$S \sim \frac{Q\alpha P}{fV}$

$NNEA = \frac{\alpha_{min} P}{\sqrt{\Delta f}} \left[ \frac{cm^{-1} \times W}{\sqrt{Hz}} \right]$

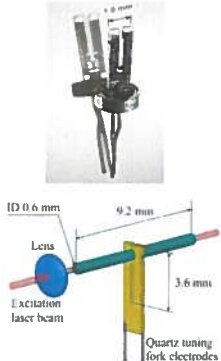
Piezoelectric crystal Resonant at  $f$  quality factor  $Q$

$Q > 1000$   
Cell is OPTIONAL!  
 $V > \text{effective volume}$

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### Quartz Tuning Fork as a Resonant Microphone for QEPAS



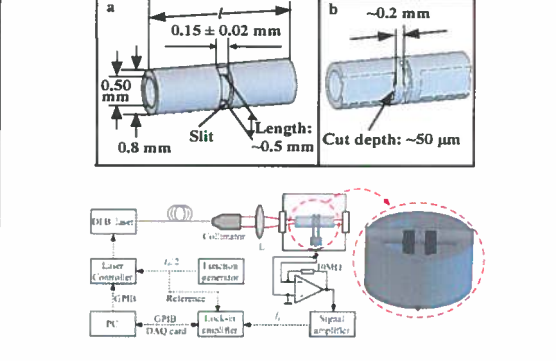
**Unique properties**

- Extremely low internal losses
  - Q~10 000 at 1 atm
  - Q~100 000 in vacuum
- Acoustic quadrupole geometry
  - Low sensitivity to external sound
- Large dynamic range ( $\sim 10^6$ ) – linear from thermal noise to breakdown deformation
  - 300K noise.  $x \sim 10^{-11}$  cm
  - Breakdown.  $x \sim 10^{-2}$  cm
- Wide temperature range: from 1.6K to ~700K

**Acoustic Micro-resonator (mR) tubes**

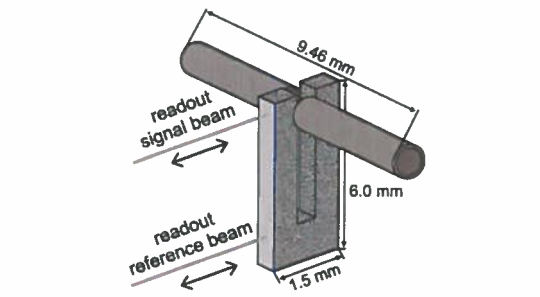
- Optimum inner diameter: 0.6 mm, mR-QTF gap is 25-50  $\mu$ m
- Optimum mR tubes must be  $\sim 4.4$  mm long ( $\sim \lambda/4 < \lambda/2$  for sound at 32.8 kHz)
- SNR of QTF with mR tubes:  $\times 30$  (depending on gas composition and pressure)

### Off-beam QEPAS based Gas Sensor



Source: K. Liu, X. Gao (AIOFM), W. Chen (ULCO), A. Kosterev et al. (Rice)

### Tuning fork enhanced interferometric photoacoustic spectroscopy (TIPAS) based sensor

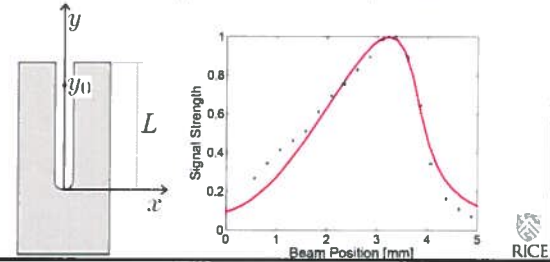


M. Koehring et al. Clausthal University of Technology 2010

### What about QEPAS Modeling ?

MIRTHE UMBC team: N. Petra, J. Zweck, A. A. Kosterev, S. E. Minkoff and D. Thomazy, "Theoretical Analysis of a Quartz-Enhanced Photoacoustic Spectroscopy Sensor", Appl. Phys B 94, 673-680 (2009)

Also: S. L. Firebaugh, F. Roignant & E. A. Terray, "Modelling the Response of Photoacoustic Gas Sensors", Comsol Conf, Boston, MA, Oct 8-10, 2009



### Merits of QEPAS based Trace Gas Detection

- Very small sensing module and sample volume (a few  $\text{mm}^3$  to  $\sim 2\text{cm}^3$ )
- Extremely low dissipative losses
- Optical detector is not required
- Wide dynamic range
- Frequency and spatial selectivity of acoustic signals
- Rugged transducer – quartz monocrystal; can operate in a wide range of pressures and temperatures
- Immune to environmental acoustic noise, sensitivity is limited by the fundamental thermal TF noise:  $k_B T$  energy in the TF symmetric mode
- Absence of low-frequency noise: SNR scales as  $\sqrt{t}$ , up to  $t=3$  hours as experimentally verified

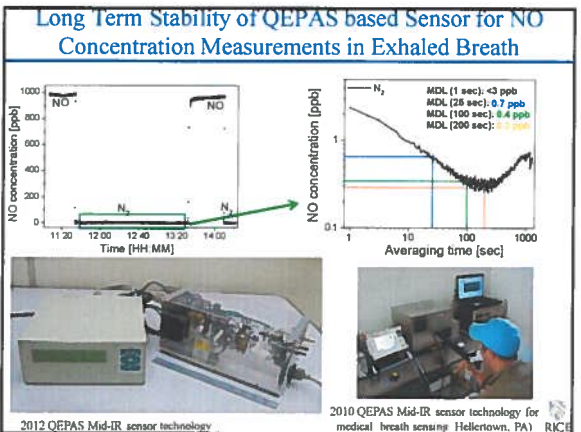
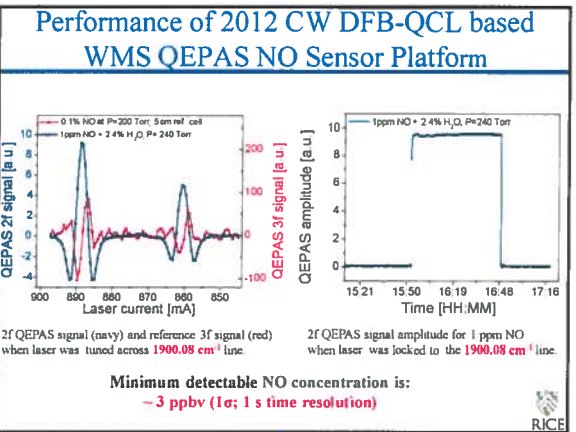
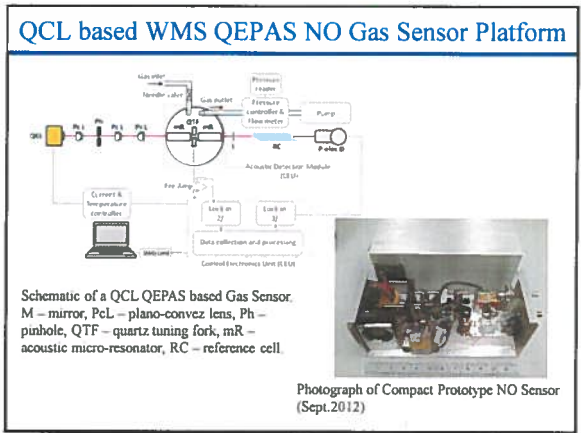
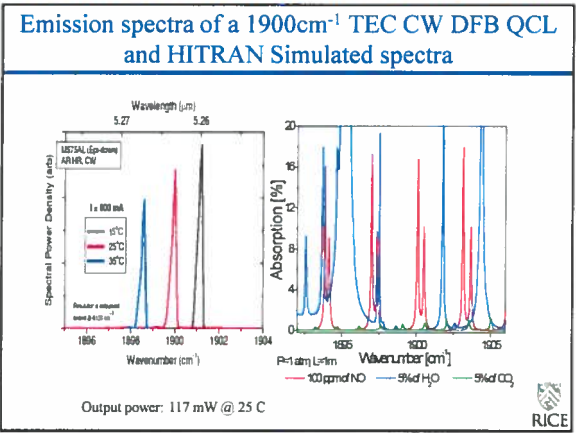
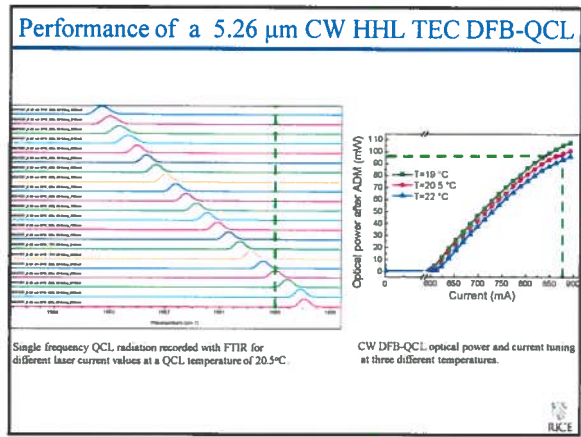
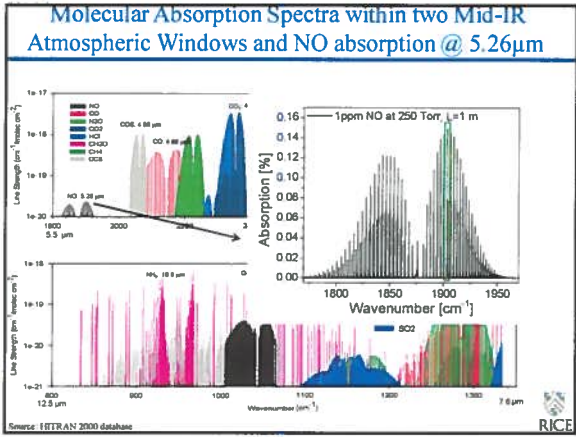
#### QEPAS: some challenges

- Cost of Spectrophone assembly
- Sensitivity scales with laser power
- Effect of  $\text{H}_2\text{O}$
- Responsivity depends on the speed of sound and molecular energy transfer processes
- Cross sensitivity issues

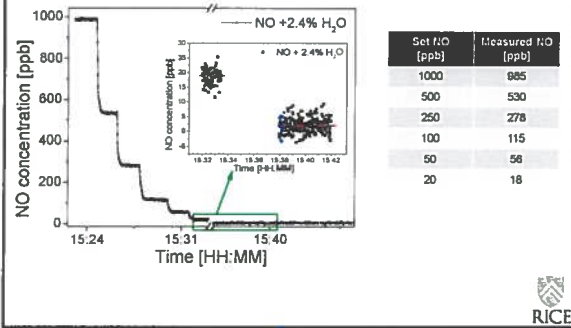


### Motivation for Nitric Oxide Detection

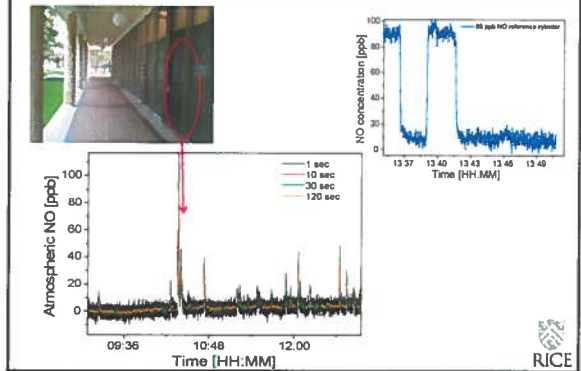
- Atmospheric Chemistry
- Environmental pollutant gas monitoring
  - $\text{NO}_x$  monitoring from automobile exhaust and power plant emissions
  - Precursor of smog and acid rain
- Industrial process control
  - Formation of oxynitride gases in CMOS Devices
- NO in medicine and biology
  - Important signaling molecule in physiological processes in humans and mammals (1998 Nobel Prize in Physiology/Medicine)
  - Treatment of asthma, COPD, acute lung rejection
- Photofragmentation of nitro-based explosives (TNT)



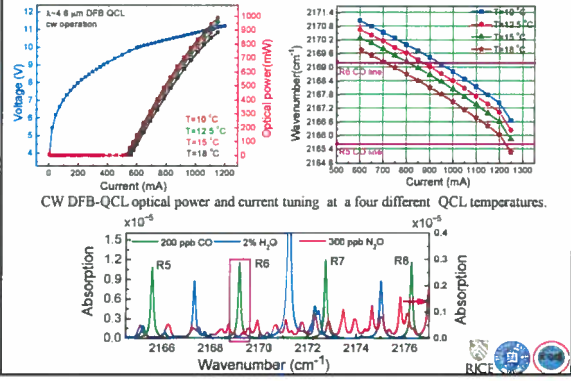
### Dilution of a 1000 ppb NO Reference Concentration



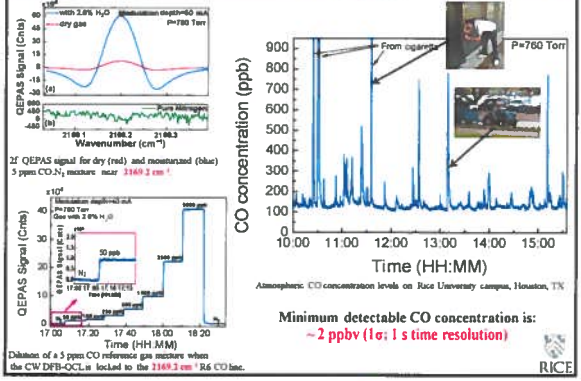
### Preliminary Atmospheric Nitric Oxide Measurements



### Performance of a NWU 4.6 μm high power CW TEC DFB QCL



### CW DFB-QCL based CO QEPAS Sensor Results



### Motivation for Sulfur Dioxide Detection

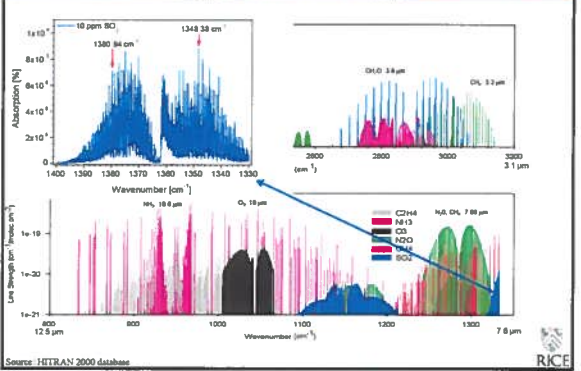
- Prominent air pollutant
- Annual SO<sub>2</sub> concentrations range from ~ 1 - 6 ppb
- SO<sub>2</sub> is emitted from coal fired power plants (~73%) and other industrial facilities (~20%)
- In atmosphere, SO<sub>2</sub> converts to sulfuric acid and is a primary contributor to acid rain
- SO<sub>2</sub> reacts to form sulfate aerosols
- SO<sub>2</sub> exposure affects lungs and causes breathing difficulties

SO<sub>2</sub> Air Quality 2000 - 2010  
Based on annual arithmetic average  
from the National Air Quality Index (NAQI) based on SO<sub>2</sub>

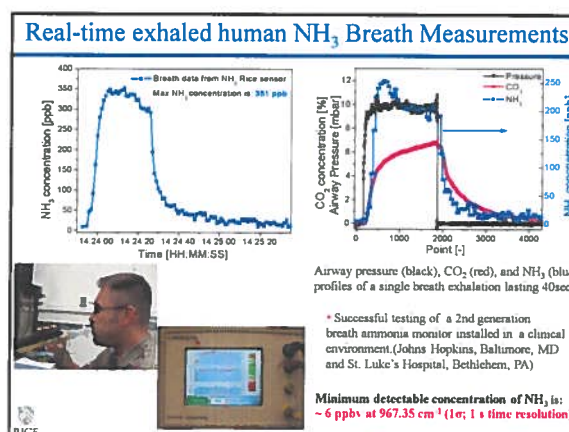
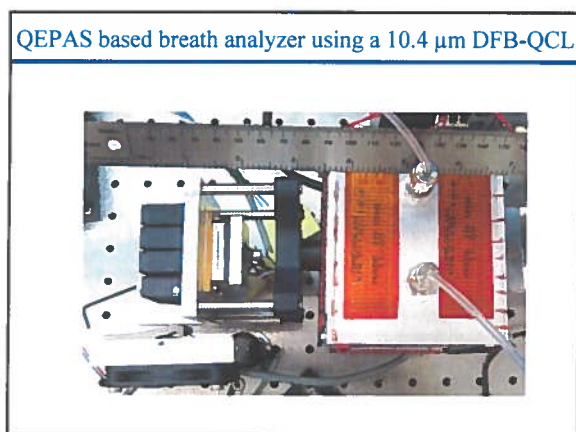
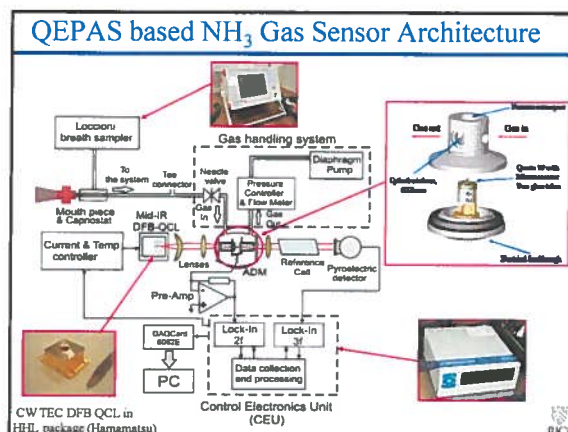
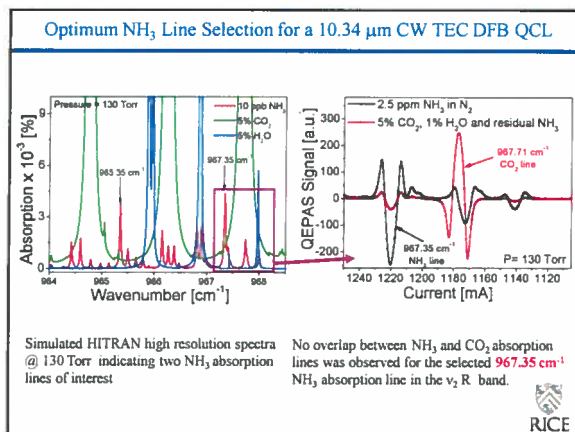
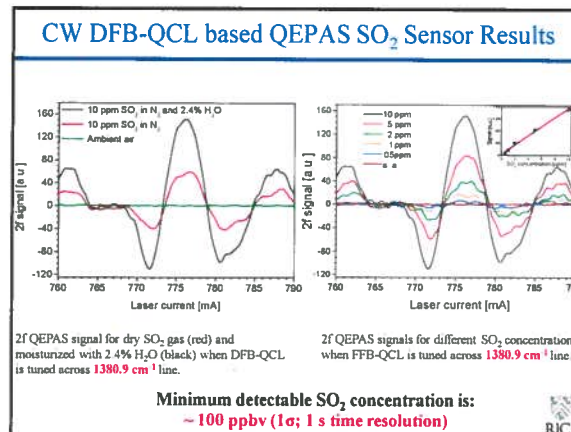
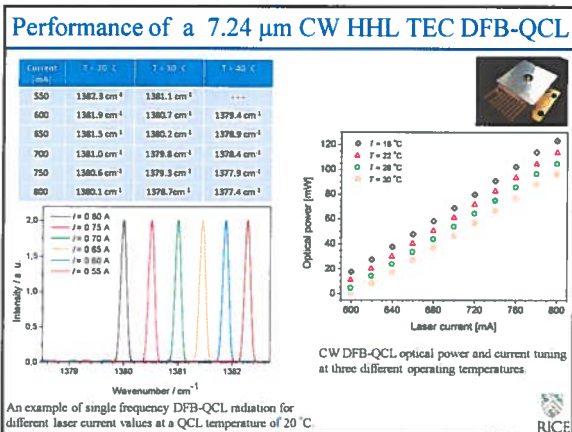
2000 to 2010: 60% decrease in National Air Index

http://www.epa.gov

### Molecular Absorption Spectra within two Mid-IR Atmospheric Windows







### Potential Integration of a CW DFB- QCL and QEPAS Absorption Detection Module

A. Lyakh, et al. "1.6 W high wall plug efficiency, continuous-wave room temperature quantum cascade laser emitting at 4.6 μm" Appl. Phys. Lett. 92, 111110 (2008)

### Mid-IR QEPAS Performance for 9 Trace Gas Species (Oct 2012)

Molecule (carrier gas)	Frequency, cm <sup>-1</sup>	Pressure, Torr	NNEA, cm <sup>3</sup> W/Hz <sup>2</sup> *	Power, μW	NEC (τ=1s), ppbV
H <sub>2</sub> O (air)	3656.73	40	1.9×10 <sup>-2</sup>	9.5	0.50
NO <sub>2</sub> (air+20% RH) <sup>**</sup>	4398.11	40	4.9×10 <sup>-3</sup>	30	0.14
C <sub>2</sub> H <sub>6</sub> (air) <sup>**</sup>	4833.88	700	4.1×10 <sup>-3</sup>	37	0.03
NH <sub>3</sub> (air) <sup>**</sup>	6338.76	335	3.1×10 <sup>-3</sup>	40	0.06
CO <sub>2</sub> (air) <sup>**</sup>	6177.81	713	3.4×10 <sup>-3</sup>	13	1.1
CH <sub>4</sub> (air+1.2% H <sub>2</sub> O) <sup>**</sup>	6087.89	760	2.7×10 <sup>-3</sup>	16	0.34
CO <sub>2</sub> (air+0.4% RH) <sup>**</sup>	4361.23	150	8.3×10 <sup>-3</sup>	45	40
H <sub>2</sub> O (air) <sup>**</sup>	4303.23	700	3.8×10 <sup>-3</sup>	20	3
HCl (air, dry) <sup>**</sup>	5739.26	760	2.2×10 <sup>-3</sup>	10	2.7
CO <sub>2</sub> (air+1.2% H <sub>2</sub> O) <sup>**</sup>	4991.24	50	1.4×10 <sup>-2</sup>	4.4	18
CH <sub>4</sub> (air+20% RH) <sup>**</sup>	3884.90	75	8.7×10 <sup>-3</sup>	7.2	0.12
CO (air+1.2% H <sub>2</sub> O) <sup>**</sup>	2179.28	160	1.4×10 <sup>-2</sup>	71	0.002
NO (N <sub>2</sub> +H <sub>2</sub> O)	1900.87	250	7.5×10 <sup>-3</sup>	100	0.003
C <sub>2</sub> H <sub>5</sub> OH (N <sub>2</sub> ) <sup>**</sup>	1934.2	770	2.2×10 <sup>-3</sup>	10	9×10 <sup>-3</sup>
SO <sub>2</sub> (air+2.4% H <sub>2</sub> O) <sup>**</sup>	1380.94	100	2.8×10 <sup>-3</sup>	40	0.1
N <sub>2</sub> O (air)	1275.492	250	3.3×10 <sup>-3</sup>	100	0.03
CH <sub>4</sub> (air)	1275.366	250	1.7×10 <sup>-3</sup>	100	0.118
C <sub>2</sub> H <sub>6</sub> (air) <sup>**</sup>	1268.42	710	1.8×10 <sup>-3</sup>	1.4	0.009
NH <sub>3</sub> (air) <sup>**</sup>	1046.39	110	1.6×10 <sup>-3</sup>	20	0.006

\* Improved microresonator, \*\* Improved microresonator and double optical pass through ADM, \*\*\* With amplitude modulation and metal microresonator  
 NNEA - normalized noise equivalent absorption coefficient  
 NEC - noise equivalent concentration for available laser power and τ=1s time constant, 10 dB/oct filter slope

For comparison: conventional PAS 2.2 (2.6×10<sup>-3</sup> cm<sup>3</sup>W/NHz (1,800, 18,300 Hz) for NH<sub>3</sub>,<sup>1,4,5</sup>

\* M. E. Walker et al. Appl. Opt. 42, 3119-3126 (2003), \*\* J. S. Pajonk et al. SAE Int. J. Engines 2010-01-1113

### QCL based QEPAS Performance for 7 Trace Gas Species (Sept. 2011)

Molecule (carrier gas)	Frequency, cm <sup>-1</sup>	Pressure, Torr	NNEA, cm <sup>3</sup> W/Hz <sup>2</sup> *	QCL Power, mW	NEC (τ=1s), ppbV
CH <sub>4</sub> O (N <sub>2</sub> +75% RH) <sup>*</sup>	2804.90	75	8.7×10 <sup>-2</sup>	7.2	120
CO (N <sub>2</sub> +2.2% H <sub>2</sub> O) <sup>*</sup>	2176.28	100	1.57×10 <sup>-2</sup>	71	2
CO (propylene)	2196.66	50	7.4×10 <sup>-3</sup>	6.5	140
N <sub>2</sub> O (air+5% SF <sub>6</sub> )	2195.63	50	1.5×10 <sup>-3</sup>	19	7
N <sub>2</sub> O (N <sub>2</sub> +2.37% H <sub>2</sub> O)	2201.75	200	2.9×10 <sup>-3</sup>	70	2.5
NO (N <sub>2</sub> +H <sub>2</sub> O)	1900.07	250	7.5×10 <sup>-3</sup>	100	3.6
C <sub>2</sub> H <sub>5</sub> OH (N <sub>2</sub> ) <sup>**</sup>	1934.2	770	2.2×10 <sup>-3</sup>	10	9×10 <sup>-3</sup>
C <sub>2</sub> H <sub>6</sub> F <sub>6</sub> (N <sub>2</sub> ) <sup>***</sup>	1208.62	770	7.8×10 <sup>-3</sup>	6.6	9
NH <sub>3</sub> (N <sub>2</sub> ) <sup>*</sup>	1046.39	110	1.6×10 <sup>-3</sup>	20	6

\* - Improved microresonator  
 \*\* - Improved microresonator and double optical pass through ADM  
 \*\*\* - With amplitude modulation and metal microresonator

NNEA - normalized noise equivalent absorption coefficient  
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For comparison: conventional PAS 2.2 (2.6×10<sup>-3</sup> cm<sup>3</sup>W/NHz (1,800, 18,300 Hz) for NH<sub>3</sub>,<sup>1,4,5</sup>

\* M. E. Walker et al. Appl. Opt. 42, 3119-3126 (2003), \*\* J. S. Pajonk et al. SAE Int. J. Engines 2010-01-1113

### Summary and Outlook

- Laser spectroscopy with a mid-infrared, room temperature, continuous wave, high performance DFB QCL is a promising analytical approach for real time atmospheric measurements and breath analysis.
- A 3.36 μm (2976.8 cm<sup>-1</sup>) CW TEC TO3 packaged diode laser based TDLS sensor system achieved a 1 σ C<sub>2</sub>H<sub>6</sub> detection sensitivity of 130 pptv.
- A 10.4 μm (961.5 cm<sup>-1</sup>) Daylight ECL-QCL based PAS sensor obtained a 1 σ NH<sub>3</sub> detection sensitivity of 3 ppbv.
- A 5.26 μm (1900 cm<sup>-1</sup>) and 7.24 μm (1380.94 cm<sup>-1</sup>) CW TEC HHL packaged DFB-QCL based QEPAS sensor for NO and SO<sub>2</sub> detection was demonstrated.
  - For an interference free NO absorption line located at 1900.08 cm<sup>-1</sup> a 1 σ minimum NO detection limit of 3 ppbv was achieved at a gas pressure of 240 Torr and a sampling time of 1 sec.
  - A 1 σ minimum detection limit of 100 ppbv was achieved at a gas pressure of 100 Torr and a sampling time of 1 sec for a SO<sub>2</sub> absorption line at 1380.94 cm<sup>-1</sup>.
- A 4.61 μm (2169.94 cm<sup>-1</sup>) CW TEC DFB-QCL based CO sensor employing QEPAS technique was developed. For R<sub>2</sub> CO absorption line located at 2169.2 cm<sup>-1</sup> a 1 σ minimum detection limit of 2 ppbv was obtained at atmospheric pressure and 1 sec. sampling time.
- Compact, robust sensitive and selective single frequency QCL sensor technology based on TDLAS, PAS and QEPAS is capable of performing real-time environmental, biomedical, industrial monitoring and national security measurements.

### Summary of Mid-IR Laser based Gas Sensor Technologies

- Infrared Semiconductor Laser based Trace Gas Sensors**
  - Compact, tunable, and robust
  - High sensitivity (<10<sup>-4</sup>) and selectivity (3 to 500 MHz)
  - Capable of fast data acquisition and analysis
  - Detected 16 trace gases to date with near and mid infrared semiconductor laser based QEPAS: NH<sub>3</sub>, CH<sub>4</sub>, N<sub>2</sub>O, CO<sub>2</sub>, CO, NO, H<sub>2</sub>O, COS, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, H<sub>2</sub>S, H<sub>2</sub>CO, SO<sub>2</sub>, C<sub>2</sub>H<sub>5</sub>OH, C<sub>2</sub>HF<sub>6</sub>, TATP and several isotopic species of C, O, N and H.
- Selected Applications of QCL based Trace Gas Detection**
  - Medical non-invasive diagnostics: MDC of single digit ppb levels (1σ) for NH<sub>3</sub> at 967.35 cm<sup>-1</sup> and NO at 1900 cm<sup>-1</sup>
  - Environmental Monitoring of Atmospheric NH<sub>3</sub> in Texas 2010 & 2011: ~ 1 to 28 ppb in urban areas; remote detection (~ 27 m)
- Future Directions and Outlook**
  - Ultra-compact, low cost, robust sensors (CO, CO<sub>2</sub> and C<sub>2</sub>H<sub>6</sub>)
  - New target analytes (SO<sub>2</sub>, C<sub>6</sub>H<sub>6</sub>, and UF<sub>6</sub>)
  - Development of trace gas sensor networks

### Improvements and New Capabilities of QCLs and ICLs

- Optimum wavelength (> 3 to < 20 μm) and power (>10 mw to < 1 W) at room temperature (> 15 °C and < 30 °C) with state-of-the-art fabrication/processing methods based on MBE and MOCVD, good wall plug efficiency and lifetime (> 10,000 hours) for detection sensitivities from % to pptv with relevant electrical power budget depending on appropriate sensor technique
- Stable single TEM<sub>00</sub> transverse and axial mode, CW and pulsed operation of mid-infrared laser sources (narrow linewidth of ~ 300 MHz to < 10kHz)
- Mode hop-free wavelength tunability for detection of broad band absorbers and multiple absorption lines based on external cavity or mid-infrared semiconductor arrays
- Good beam quality for directionality and/or cavity mode matching. Implementation of potential plasmonic and innovative flat lens collimation concepts.
- Rapid Data Acquisition based on fast time response
- Compact, robust, readily commercially available and affordable in order to be field deployable in harsh operating environments (temperature, pressure, etc...)



